



# ACCOUNT OPENING FORM FOR INDIVIDUAL

## 個人戶開戶申請書

### Completion Guidance Note

- Please complete all relevant sections in **English Capital Letters** by **permanent ink**  
請使用不可擦拭原子筆並以大寫英文填寫本開戶申請書
- Please tick appropriate box, where applicable  
請在適用方格內勾選或✓
- Any amendment should be crossed out and signed  
有任何更改必須刪劃後由帳戶持有人在旁加簽
- The defined terms in the "Terms and Conditions For Bank Account" are adopted in this Account Opening Form unless the context otherwise requires  
除非另有規定，本開戶申請書採納《開戶總約》的定義詞語
- Copies of "Terms and Conditions For Bank Account" and relevant terms are available at <https://www.esunbank.com/en/business/corporate/overseas-branch/singapore#3>  
《開戶總約》、《顧客須知》及相關帳戶條款可於玉山官網取得
- Please cross out the blanks if not applicable  
下列未使用的空白欄位請以斜線劃銷

### FOR BANK USE ONLY

FRONT OFFICE		Customer Name:
Maker	Checker	
OPERATIONS		SSIC:
Maker	Checker	Account Number:
		Referral Staff:

Please initial

# Client Information

客戶基本資料表



## PERSONAL INFORMATION 個人資料

\*NAME OF CUSTOMER (as in ID/Passport) 顧客名稱  Mr.  Mrs.  Ms.  Mdm.  Dr.

(English 英文)

(Chinese 中文)

\*NATIONALITY 國籍

Singapore Citizen  Singapore Permanent Resident  Other, please specify:

\*ID TYPE 身分證明文件

NRIC  Passport  Other, please specify:

\*DOCUMENT NO. 證件號碼  \*COUNTRY OF ISSUE 發照國別

\*DATE OF BIRTH (DD/MM/YYYY) 出生日期  \*PLACE OF BIRTH 出生地

\*RESIDENTIAL ADDRESS 戶籍地址 Country 國家  Postal code 郵遞區號

\*MAILING ADDRESS 通訊地址 Country 國家  Postal code 郵遞區號

same as residential address 同戶籍地址  other 其他地址

\*HOME NO. 住宅電話  \*MOBILE NO. 手提電話  \*FAX NO. 傳真

\*HOME NO. 住宅電話  \*MOBILE NO. 手提電話  \*FAX NO. 傳真

\*TAX RESIDENCY 稅籍地  Singapore 新加坡  Other, please specify

\*U.S Taxpayers Claim 是否具美國納稅人身分

Taxpayer Identification (TIN)

No 否  Yes 是 (fill in W-9) Taxpayer Identification (TIN)

Please initial

## Client Information

客戶基本資料表



### PERSONAL DATA 個人職業

#### \*EMPLOYMENT 就業狀況

employed  self-employed  retired  others, please specify: \_\_\_\_\_

#### To be completed if employed or self-employed

\*NAME OF COMPANY 服務公司 \_\_\_\_\_ \*TEL NO. 公司電話 \_\_\_\_\_

\*OFFICE ADDRESS 公司地址 \_\_\_\_\_ Country 國家 \_\_\_\_\_ Postal code 郵遞區號 \_\_\_\_\_

\*OCCUPATION/POSITION/BUSINESS NATURE 職業/職位/業務性質 \_\_\_\_\_

### DECLARATION OF BENEFICIAL OWNERSHIP AND PURPOSE 個人聲明

#### \*BENEFICIAL OWNER 受益人

I am the beneficial owner and ultimately own or have effective control of the account. The account is used for saving or transactional purposes. 本人為此帳號受益人且持有完整控制權。此帳號開立目的為存款或交易之用。

I am not the beneficial owner and do not ultimately own or have effective control of the account.

本人非此帳號受益人且未有完整控制權。

#### \*PURPOSE OF ACCOUNT 開立帳戶用途(可複選)

collecting for goods payment  salary payment  
 paying for goods payment  tax arrangement  
 investment management  other, please specify: \_\_\_\_\_

#### \*MAIN SOURCE OF INCOME 資金來源

salary 薪資  business/investment 營業收入/投資  wealth 財產  
 dividend 股利  others 其他 please specify: \_\_\_\_\_

\*COUNTRY OF SOURCE OF FUND 主要收入來源國別 \_\_\_\_\_

### SERVICES APPLICATION 服務申請

#### \*MODE OF DELIVERY FOR ADVICE AND MONTHLY BANK STATEMENT 交易憑證暨月結單寄送方式

E-mail, please specify: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

By mail to mailing address

There is/are total \_\_\_\_\_ E-mail address(es).

Please initial



To: **E.SUN Commercial Bank, Ltd., Singapore Branch**

Dear Sirs,

I/We request and authorise E.SUN Commercial Bank, Ltd., Singapore Branch (the "Bank") to rely and act upon, as original, with or without independent verification, document(s) and/or instructions that we transmit to the Bank by facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future. I/We hereby agree that the Bank may, but is not obliged to, accept and deem as original in all respect and for all purposes any documents(s) and/or instructions received from me/us through facsimile, post, e-mail, telex or such other forms of transmission, which on its face bears the approved Authorised Signatory(ies) signature(s) or its sender passing the identity check which appears, in the Bank's sole and absolute discretion to be similar to the Authorised Signatories specimen or to be consistent with my particulars held with the Bank.

In the event of my/our non-performance of this undertaking, I/We hereby confirm and agree that it shall not in anyway affect the Bank's reliance on our instructions which have been transmitted to the Bank and the Bank reserves its right to request for and/or act upon instructions from me/us by post in respect of all transactions in connection with any of my/our aforesaid account(s) with the Bank.

The Bank is entitled to rely upon and deem the document(s) and/or instructions received by facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future as an original instrument, authorised by and binding upon me/us in all respects and for all purposes without waiting for a confirmation by letter or the original instruction in writing or any inquiry as to the authority or identity of the person(s) giving or making or purporting to give or make such notice, demand, instruction or other communication and regardless of the circumstances prevailing at the time of such notice, demand, instruction or other communication.

In the event of any discrepancy between any instructions of facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future received and acted on by the Bank and a hard copy of the same, the former received and acted on by the Bank shall be deemed to be the instructions given by the Customer.

I/We accept the risk of equipment or transmission malfunction including but not limited to paper shortage, transmission errors, omissions and distortions. I/We agree and accept that the Bank will not be held responsible for any loss, liability or damages arising from misunderstandings, errors, lack of clarity, fraud, forgery or unauthorised instructions given by any unauthorised party. I/We irrevocable authorise the Bank to debit our account of any charges, losses or expenses.

Proof of dispatch by the Customer (or the Customer's authorised representative(s) if any) shall not constitute proof of receipt of such instructions by the Bank. The Bank may at any time, at the Bank's discretion, refuse to execute my/our instructions or any part thereof, without giving any notice, without assigning any reason thereon and without incurring any responsibility for loss, liability or expenses arising from such refusal.

In consideration of the Bank agreeing to act on the authorisation set out in this letter, the undersigned hereby agrees to fully indemnify the Bank and its officers, employees, agents and correspondents against all claims, demands, actions, proceedings, damages, losses, costs, liabilities, payments and expenses (including, without limitation, legal fees and expenses on full indemnity basis) which the Bank may suffer or incur, directly or indirectly, arising out of anything done or omitted to be done pursuant to its reliance on documents transmitted by facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future, as set forth in this letter.

I/We hereby waive any rights, claims, actions, or proceedings that I/we may have against the Bank for any losses, damages or liabilities I/we may suffer or incur as a consequence or in connection with this letter or any notice, demand, instruction or other communication.

I/We acknowledge that the Bank already have a right of set-off or lien arising under law against my/our other accounts, whether in Singapore or elsewhere, held with the Bank, even though the credit balances may not be in the same currency.

Where the Customer consists of more than one person, their obligations and liabilities hereunder shall be joint and several. Where the Customer is a corporate or other business entities, the term "the Customer" shall mean the corporate entity, the person, and/or persons from time to time carrying on business in the name of such firm. References to "the Customer" shall include the Customer's successor and assign.

No failure or delay by the Bank in exercising any right, power or remedy hereunder shall be a waiver thereof. Rights, powers and remedies herein do not exclude those provided by the law. If any form or condition herein becomes illegal, invalid or unenforceable, the remaining terms and conditions shall not be prejudiced thereby.

The rights and obligations of the parties shall be governed by and interpreted in accordance with the laws of Republic of Singapore. Indemnity construed in Courts of Singapore shall not limit the Bank's right to take legal proceeding in other court of competent jurisdictions. I/we agree to submit to the non-exclusive jurisdiction of the Courts of Singapore.

Please initial

# Tax Compliance Declaration for Individual Account(s)

稅務遵循聲明



To: E.SUN Commercial Bank Limited, Singapore Branch (the "Bank")

I hereby **CONFIRM AND DECLARE** that:

- (i) I am aware that Singapore is fully committed to safeguard its financial system from being used to harbor proceeds from willful or fraudulent tax evasion which are serious tax crimes involving, *inter alia*, omissions, falsifications or fraudulent conduct perpetrated with willful intent to evade tax or to assist others in evading tax.
- (ii) I am aware that the relevant Notices on the Prevention of Money Laundering and Countering the Financing of Terrorism and The Guidelines to these Notices issued by the Monetary Authority of Singapore will apply to the tax offences\* designated under the Corruption, Drug Trafficking and Other Serious Crimes (Confiscation of Benefits) Act ("CDSA") from 1 July 2013.
- (iii) At the date hereof, I have, to the best of our knowledge, not committed or been convicted of any serious tax crimes.
- (iv) To the best of my knowledge, all the money and/or assets which I have credited and/or will be crediting into the accounts maintained with the Bank, are sourced from legitimate sources and are not proceeds from willful or fraudulent tax evasion.
- (v) To the best of my knowledge, my accounts maintained with the Bank are and will not be used for the purposes of or in connection with any serious tax offence.
- (vi) I will, upon the request of the Bank, immediately provide any further information or documentation evidencing the tax legitimacy of our money and/or assets, including without limitation, supporting bases and documentation in connection with account acceptance/retention and other related decisions.
- (vii) I will notify the Bank immediately of any change in the status declared in this Declaration.
- (viii) The information given in this Declaration submitted to the Bank are complete, true and accurate and we have not willfully withheld any material fact/information.
- (ix) As appropriate and necessary, I have taken, or will take, tax and/or legal advice in relation to the matters referred to in this Declaration.

## **Acknowledgement**

I understand and acknowledge that the Bank:

- (i) will rely on the information that I have provided above in identifying and assessing my tax- risk profile; and
- (ii) is not responsible for providing me with any legal or tax advice and I confirm that I have not relied on the Bank to provide me with such advice.

## **\*Explanatory Notes:**

The tax offences that have been designated under the CDSA are as follows:

- (a) Tax evasion under section 96 of the Income Tax Act;
- (b) Serious fraudulent tax evasion section 96A of the Income Tax Act;
- (c) Tax evasion under Section 62 of the Goods and Services Tax Act; and
- (d) Improperly obtaining a refund contrary to section 63 of the Goods and Services Tax Act.

(Please refer to the Second Schedule of the CDSA for further details.)

Please initial



We do not agree to the following Data Sharing Agreement. (Failure to do so signifies our confirmation that we have read through and agreed to it as regards the sharing of our deposit/loan and investment information to the E.SUN Group for use in direct service.)  
我們不同意以下的資料分享協議。(如未打勾，代表我們確認已經詳細閱讀並同意本條款以下所載之資料分享及直接服務)

- This Data Sharing Agreement will form part of the terms and conditions governing your relationship and dealing with E.SUN Bank (Singapore Branch) and its related corporations, including its headquarter, overseas branches and subsidiaries (the “Bank”), and should be read in conjunction with any terms and conditions to which your relationship with the Bank is subject.  
此份資料共享協議為管理您與玉山銀行（新加坡分行）及其關聯公司（包括其總部，海外分支機構和子公司（以下簡稱“本行”））關係條款的其中一部分，請將此份協議結合您與銀行關係所遵循的其他條款一起閱讀。
- For the purposes of this Data Sharing Agreement Data means data, whether true or not, about you, from which you can be identified, or from that data and other information which the Bank has or is likely to have access to. For the avoidance of doubt, Data includes, but is not limited to, company/personal names, registration/identification number, financial background, contact information and any other information as regards products, services and/or transaction details which has been provided to the Bank.  
以此份協議而言，資料定義是指本行可以從中識別出您的身分相關資訊，或指本行有權或可能獲得之訊息。資料包含但不限於公司/個人名稱、註冊編號/證件號碼、財務背景、連絡資訊以及提供給本行有關於產品、服務或交易等訊息。
- The Bank, with a view to conducting direct service and providing you with better-rounded and diversified financial services, collects, uses and discloses your Data for the following purposes in connection with your relationship and dealing with the Bank, to the extent applicable:  
為了提供您更全面及多樣化的金融服務，本行將在下述適用範圍內收集、使用和披露您的資料，並用於服務您以及下列與本行的交易相關之目的：
  - providing products and/or services requested, including but not limited to Deposit and Remittance business, Credit Extension Business, Credit Card Business, Foreign Exchange Business, Wealth Management Business, Treasury Business of the Bank;  
提供產品及服務需要，包含但不限於存匯款業務、授信、信用卡、外匯交易、財富管理以及財金交易等等；
  - facilitating the evaluation and processing of your request for any products and/or services offered by the Bank, including but not limited to the delivery of documents and the execution of related documents;  
促進評估和處理您對本行提供的任何產品和/或服務的需求，包含但不限於單據交付和相關文件的執行；
  - managing your relationship and dealings with the Bank, your obligations with the Bank and the Bank’s obligations to you;  
管理您與本行關係與交易、您與本行的義務以及本行對您的義務；
  - complying with the internal policies and procedures of the Bank;  
遵守本行的內部政策和程序；
  - offering, marketing and advertising to you any products, services, offers, promotions or events provided by the Bank which the Bank thinks may be of interest to you;  
向您提供、行銷或廣告宣傳本行認為您會感到興趣的任何產品、服務、報價、促銷及活動等。
  - performing checks with the Do Not Call Registry;  
通過“請勿來電名單”進行檢查；
  - conducting research for the purposes of developing or improving products, services, security, service quality and marketing strategies, whether by the Bank or by a third party;  
由本行或第三方進行研究，以開發或改善產品、服務、安全性、服務質量和營銷策略；
  - generating financial, regulatory, management, analytics or other related reports for the business operations and performance of the Bank;  
為本行的業務運營和業績生成財務、監管、管理、分析或其他相關報告；
  - handling any feedback and/or complaints received by the Bank; and  
處理本行收到任何反饋或投訴；以及
  - for such other purposes which are reasonably related to the aforesaid.  
用於與上述合理相關的其他目的。
- In furtherance of the abovementioned purposes, the Bank may share or disclose Data with third parties, whether in Singapore or elsewhere, to the extent permitted by applicable laws and regulations. In doing so, the Bank shall require such third parties to ensure that such Data shared or disclosed is similarly kept confidential and secure at all times.  
為達到上述目的，本行可在適用法律法規允許的範圍內，與新加坡或其他地方的第三方共享或披露資料。在這樣做時，本行應要求這些第三方確保共享或披露的此類資料在任何時候都受到類似機密和安全保護。
- Your consent as regards the collection, use and disclosure of your Data by the Bank for the abovementioned purposes pursuant to this Data Sharing Agreement shall be without prejudice to any other rights which the Bank may have to collect, use and disclose your Data, and nothing herein is to be construed as limiting the same.  
您同意本行根據此資料共享協議為上述目的收集、使用和披露您的資料的行為，不影響本行可能具有的其他收集、使用和披露您的資料的權利，並且本文中的任何內容均不應被解釋為對此權利進行限制。
- By providing Data of a third party including, but not limited to, information of next-of-kin, emergency contact or family members, to the Bank, you represent and warrant that consent from such third party has been obtained for the collection, use and disclosure of that Data by the Bank for the abovementioned purposes.

Please initial



您向本行提供之第三方的資料(包括但不限於親屬,緊急連絡人或家庭成員的信息),您確認並保證已經獲得該第三方的同意,本行得出於上述目的使用和披露該資料。

7. You may at any time withdraw any consent given, in respect of the collection, use or disclosure of your Data by the Bank, for any purpose by giving reasonable notice to the Bank. If you withdraw your consent given, the Bank may not be able to carry on certain business, transactions and dealings with you. Your withdrawal of consent for the collection, use or disclosure of your Data by the Bank may also result in a breach of contractual obligations or undertakings to the Bank, in which case the Bank's rights and remedies, at law and in equity, are hereby expressly reserved.

您可以出於任何目的隨時向本行發出合理的通知、撤回就本行收集,使用或披露您的資料而給予的任何同意。如果您撤回您的同意,本行可能無法與您進行某些業務交易。您撤回本行對收集、使用或披露您的資料的同意也可能導致違反本行的合同義務或承諾,在此情況下,本行在法律和權益上的權利和補救措施應被明確保留。

8. Data collected by the Bank shall be kept confidential and the Bank shall protect the Data in its possession or under its control by making reasonable security arrangements to prevent any unauthorised access, collection, use, disclosure, copying, modification, disposal or similar risks.

本行收集的資料應予以保密,且應通過合理的安全安排保護其擁有或受其控制的資料,以防止任何未經授權的訪問、收集、使用、披露、複製、修改、處置或類似風險。

9. The Bank retains your Data until it is reasonable to assume that the specific purposes for the collection of your Data is no longer being served by its retention, and such retention is no longer necessary under the applicable laws and regulations, or internal policies of the Bank, or the retention period as agreed in the terms and conditions to which your relationship with the Bank is subject, or for legal or business purposes.

本行將保留您的資料,直到可以合理地假設不再需要通過保留資料來滿足特定目的,並且根據適用的法律法規或內部政策不再需要保留資料,或直到您與本行之間關係條款所約定的資料留存期限,或出於法律或商業目的。

10. You may request access to and correction of your Data held by the Bank, to the extent permitted by applicable laws and regulations. The Bank shall be entitled to charge a fee for processing any request for access to and/or correction of Data, depending on the nature and complexity of the request. The Bank will inform you of the fee beforehand and obtain your approval before such fee is charged to you.

您可以在適用法律和法規允許的範圍內,要求調閱和更正本行所擁有的資料。根據請求的性質和複雜性,本行有權收取任何處理調閱和/或更正資料的請求的費用。本行將事先通知您該費用,並在您收取該費用之前獲得您的批准。

11. As the Bank relies on your Data to provide products and/or services to you, you shall ensure that all information provided to the Bank is accurate and complete at all times, and you shall update the Bank of any and all changes to your information and Data in a timely manner. Any losses incurred as a result of any inaccurate or incomplete information shall not be borne by the Bank.

由於本行依靠您的資料為您提供產品和/或服務,因此您應確保提供給本行的所有信息在任何時候都是準確和完整的,並且您應向本行即時更新對您的信息和資料的任何更改。因信息不正確或不完整而造成的任何損失,本行概不承擔。

12. The Bank may amend and/or vary the terms and conditions in this Data Sharing Agreement from time to time to ensure that it is consistent with any changes in any applicable laws and regulations. The Bank will make available such updated terms and conditions in this Data Sharing Agreement in its office in Singapore. All communications, transactions and dealings with the Bank shall be subject always to the latest amended version of this Data Sharing Agreement in force at the time.

本行可能會不時修改和/或更改本“資料共享協議”中的條款和條件,以確保其與任何適用法律和法規的任何變更保持一致。本行將在新加坡分行《資料共享協議》中提供此類更新的條款和條件。與本行的所有通信和交易應始終以當時有效的本資料共享協議的最新修訂版為準。

13. Should you have any queries on this Data Sharing Agreement, please visit us at our office in Singapore or get in touch with the CASH MANAGEMENT Department of the Bank at telephone no. +65 6533 1313 / fax no. +65 6636 3113 / email address SG\_CM@ESUNBANK.COM

如果您對此《資料共享協議》有任何疑問,請訪問我們在新加坡的辦公室,或通過電話號碼與銀行的現金管理組聯繫。

14. This Data Sharing Agreement is written in both the English and Chinese languages. In case of any inconsistency or discrepancy between the two versions, the English language version shall prevail.

此份資料分享協議具有中英文對照版本,若中英文文義存在任何差異,應以英文為主。

Please initial



**AUTHORISED SIGNATORY** 有權簽樣人員

The name and specimen signature of the authorised person referred to below.

Signature(s) should correspond to the signing arrangements of the Account. 簽樣即為未來帳戶交易之簽署安排

Signing condition  singly

No	Specimen Signatures
1	
2	

**AGREEMENT**(to be signed by person authorised to open the account(s))

To: E.SUN Commercial Bank, Ltd., Singapore Branch("the Bank")

- I request the Bank to open the above account(s) (the "Account(s)"), subject to the Bank's prevailing Standard Terms and Conditions Governing Accounts and Banking Facilities (the "Terms").
- I have read and understood the Bank's prevailing Terms and agree to abide and be bound by such terms and conditions and any amendments, alterations and additions thereto as may from time to time be made.
- I declare that any funds and assets I place with the Bank and any profits that they generate, will comply with the tax laws of the countries where I live or of which I am citizen or which I am otherwise subject to.
- I confirm the information provided in this application form is accurate and agrees that E. Sun Bank may, in its own discretion, update the information previously submitted to E. Sun Bank by me. The account information filed in this application form shall prevail in the event of discrepancies. I consent that, if any transactions or obligations be derived from the account, E. Sun Bank shall notify me via the contact means provided by me.
- If I provide incorrect, incomplete, undated information, or the information previously provided has been changed, resulting in E. Sun Bank inability to assess whether such information complies with the applicable standards, I agree that E. Sun Bank may treat my account as an uncooperative account and follow up with corresponding measures(terminating the contract or withholding the payment) to ensure E. Sun Bank meets the compliance matters. The relevant rules include, but are not limited to: the U.S. tax code application data (including Foreign Account Tax Compliance Act, FATCA), a foreign financial institution agreement (FFI Agreement), or any agreements or codes entered into or enacted in any other jurisdictions to comply with FATCA.
- I authorise you to honour all payments instructions signed in accordance with the stated signature requirements. I represent and warrant that I have the power and authority to sign and deliver this application form and the resolution attached and that the information given by me in this form and any other document(s) submitted to the Bank are complete, true and accurate.
- I agree that the Bank deduct all the charges from our account with you according the pricing table.
- I understand that approval of this application is at the Bank's discretion.

Name

X

Account Holder  
Date:

NRIC/Passport number

**IMPORTANT NOTES**

*US Tax Resident Definition: a citizen or permanent resident of the United States (eg. US Green Card holder or someone who meets the requirements to be considered a resident under the substantial presence test); or any other person that is not a foreign person (as defined under US federal tax law).*

**FOR BANK USE ONLY**

Witnessed by	Country and Address of Witness
Print Name & Signature staff stamp not allowed	
Date and Time DD/MM/YYYY hr/min	
Staff number	

*✘ I hereby declare that I have read, fully understand and agree to abide by the relevant laws and regulations, including but not limited to Article 47 of the Singapore Banking Act and Personal Data Protection Act 2012, applicable to E.SUN Bank, Singapore Branch (hereinafter referred to as "the Bank") and its customers. I agree to protect all statutory rights including the correctness and confidentiality of the personal data and other information of customers of the Bank with the protection measures and the degree of duty of care no less than the requirement of the regulations herein. If there is any violation, I am willing to deal with it and bear the relevant legal responsibilities. The duration and obligations of this Statement shall last forever from the date of signature. I should continue to comply after I stop performing my duties or leave my job.*

Please initial



**Introduction**

**Why are we asking you to complete this form?**

To help protect the integrity of tax systems, governments around the world are introducing a new information gathering and reporting requirement for financial institutions. Under the guidance of the Organization for Economic Co-operation and Development (OECD), many participating jurisdictions have committed to establish global standards for Automatic Exchange of Information (AEOI) based on the Common Reporting Standard (CRS), obtaining and exchanging financial account information from foreign taxpayers annually.

Under the CRS, we are required to determine where you are 'tax resident' (this will usually be where you are liable to pay income taxes). If you are tax resident outside the country where your account is held we may need to give the national tax authority this information, along with information relating to your accounts. That may then be shared between different countries' tax authorities.

Completing this form will ensure that we hold accurate and up to date information about your tax residency. If your circumstances change and any of the information provided in this form becomes incorrect, please let us know immediately and provide an updated Self-Certification.

**Who should complete the CRS Individual Self-Certification Form?**

Personal banking customers or sole traders should complete this form. For joint account holders, each individual will need to complete a copy of the form. If you need to self-certify on behalf of an entity (which includes businesses, trusts and partnerships), complete a "Self-Certification: Entity". Similarly, if you are a controlling person of an entity, complete a "Self-Certification: Controlling Person". If you are completing this form on behalf of someone else, please notify E. SUN BANK and provide a certified copy of the Power of Attorney.

※Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the "CRS"), the associated Commentary to the CRS, and domestic guidance.

※Please note that the form is not for tax and legal advice.

If you have any question regarding the form and CRS, please consult with tax, legal, or other areas of professionals.

**Part I: Identification of Individual Account Holder**

(For joint account holders, each individual will need to complete a copy of the form)

**1 Name of Account Holder**

Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs./Ms./Miss	<b>Family Name or Surname(s)*</b>	<input style="width: 95%;" type="text"/>
<b>First or Given name(s)*</b>	<input style="width: 95%;" type="text"/>	Middle Name(s)	<input style="width: 95%;" type="text"/>

**2 Nationality**

NRIC:  or  Passport No.

Other:

**3. U.S. person\***

Are you a U.S. person\*?

Yes

No

If you are a U.S. person (i.e.: A U.S. citizen or tax resident, green card holder, long term resident<sup>1</sup>), in addition to this form, you are required to complete the form "W-9" and "FATCA Declaration Form (US Taxpayer only)" to IRS.

Note <sup>1</sup>: long term resident indicates personnel that are not U.S. citizens, but is physically present in the U.S.  $\geq 31$  days in the current tax year; or (days physically present in the current tax year\*1 + days physically present in the last tax year \* 1/3 + days physically present in the tax year before last tax year \*1/6)  $\geq 183$  days

Please initial

# Self Certification Individual

自我證明表格 - 個人戶



<b>4 Date of birth*</b>	
(DD/MM/YYYY)	<input type="text"/>
<b>5 Place of birth</b>	
<b>City*</b>	<input type="text"/>
<b>Country*</b>	<input type="text"/>
Province, County, State	<input type="text"/>
<b>6 Current Residence Address</b>	
Line 1 (House, Apt, Suite Name, Number, Street)	<input type="text"/>
Line 2 ( <b>City*</b> )	<input type="text"/>
Line 3 (Province, County, State)	<input type="text"/>
<b>Country*</b>	<input type="text"/>
Postal Code / ZIP code	<input type="text"/>
<b>7 Mailing Address: (please only complete if different from the address shown in Section 6 above) (P.O. Box is not acceptable)</b>	
Line 1 (House, Apt, Suite Name, Number, Street)	<input type="text"/>
Line 2 (City)	<input type="text"/>
Line 3 (Province, County, State)	<input type="text"/>
Country	<input type="text"/>
Postal Code / ZIP code	<input type="text"/>

**\*Required**

Please initial
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**Part II: Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")**

Please complete the following table indicating:

- (a) where the Account Holder is a tax resident; and
- (b) Account Holder's TIN for each country indicated.

\* Please list **"all"** the tax residency (not limited to 5 countries). If the Account Holder is tax resident in more than three countries please use a separate sheet.

\*If the Account Holder is tax resident in Singapore, the TIN is National Registration Identity Card (NRIC) or Federal Identification Number (FIN)

\* If a TIN is unavailable please provide the appropriate reason A, B, C or D where appropriate:

- A The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- B The Account Holder is otherwise unable to obtain a TIN or equivalent number  
(Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)
- C No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)
- D The country where I am liable to pay tax does not issue TINs to its residents, but does provide functional equivalent numbers, e.g. Social Security Number, Social Insurance Number, ID Number or Residence Number.

	Country of Tax Residency	TIN	If no TIN available enter Reason A, B, C or D
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected **Reason B** above.

1	
2	
3	

Please provide equivalent identification numbers in the following boxes if you selected **Reason D** above.

	Type of equivalent identification numbers	Numbers
1		
2		
3		

Please initial

# Self Certification Individual

自我證明表格 - 個人戶



## Part III: Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with E. SUN BANK setting out how E. SUN BANK may use and share the information supplied by me and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Authority of Singapore and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under Income Tax Act (Chapter 134) Income Tax (International Tax Compliance Agreements) (Common Reporting Standard) Regulations 2016

- I certify that I am the Account Holder (or am authorized to sign for the Account Holder) of all the account(s) to which this form relates.
- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I undertake to advise E. SUN BANK within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide E. SUN BANK with a suitably updated self-certification and Declaration of such change in circumstances.

Signature

\_\_\_\_\_

the Account Holder

or authorized individual to sign for the Account Holder

Print name

\_\_\_\_\_

Capacity

\_\_\_\_\_

Date

(DD/MM/YYYY)

*※ Warning: According to Income Tax Act (Chapter 134) 105M, if a person is requested to provide any information contemplated by an agreement mentioned in section 105P(1) to establish his/her residence for a tax purpose contemplated by that agreement, and, in purported compliance with that request, provides any such information which he/she knows is false or misleading in any material particular, he/she shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000 or to imprisonment for a term not exceeding 2 years or to both.*

### FOR BANK USE ONLY

Witnessed by	Country and Address of Witness
Print Name & Signature staff stamp not allowed	
Date and Time DD/MM/YYYY hr/min	
Staff number	

*※ I hereby declare that I have read, fully understand and agree to abide by the relevant laws and regulations, including but not limited to Article 47 of the Singapore Banking Act and Personal Data Protection Act 2012, applicable to E.SUN Bank, Singapore Branch (hereinafter referred to as "the Bank") and its customers. I agree to protect all statutory rights including the correctness and confidentiality of the personal data and other information of customers of the Bank with the protection measures and the degree of duty of care no less than the requirement of the regulations herein. If there is any violation, I am willing to deal with it and bear the relevant legal responsibilities. The duration and obligations of this Statement shall last forever from the date of signature. I should continue to comply after I stop performing my duties or leave my job.*

Please initial