



Customer Number :

Account Number :

公司戶開戶申請書

ACCOUNT OPENING FORM FOR COMPANY

Completion Guidance Note

- Please complete all relevant sections in **English Block Letters** by **inerasable pen**
請使用不可擦拭原子筆並以正楷英文填寫本開戶申請書
- Please tick appropriate box, where applicable
請在適用方格內勾選或√
- Any amendment should be crossed out and signed
有任何更改必須刪劃後由帳戶持有人在旁加簽
- The defined terms in the "Standard Terms and Conditions Governing Accounts" are adopted in this Account Opening Form unless the context otherwise requires
除非另有規定，本開戶申請書採納《開戶總約》的定義詞語
- Copies of "Standard Terms and Conditions Governing Accounts" and relevant terms are available at <https://www.esunbank.com/en/business/corporate/overseas-branch/singapore#3>
《開戶總約》、《顧客須知》及相關帳戶條款可於玉山官網取得
- Please cross out the blanks if not applicable
下列未使用的空白欄位請以斜線劃銷

FOR BANK USE ONLY

Prepared by

Checked by

Approved by

Please initial

Client Information

客戶基本資料表



CORPORATION INFORMATION 公司資料

*NAME OF CUSTOMER 顧客名稱

(English 英文)

(Chinese 中文)

*REGISTRATION NO. 證件號碼

*DATE OF REGISTRATION 註冊日期

*REGISTERED ADDRESS 註冊地址

Country 國家

Postal code 郵遞區號

*BUSINESS ADDRESS 營業地址

Country 國家

Postal code 郵遞區號

same as registered address 同註冊地址

*MAILING ADDRESS 通訊地址

Country 國家

Postal code 郵遞區號

same as registered address 同註冊地址

same as business address 同營業地址

*TEL NO. 電話

*FAX NO. 傳真

*TEL NO. 電話

*FAX NO. 傳真

*TAX RESIDENCY 稅籍地 Singapore 新加坡

Other, please specify

*U.S Taxpayers Claim 是否具美國納稅人身分

Taxpayer Identification (TIN)

No 否

Yes 是 (fill in W-9)

BUSINESS STATUS 業務狀況

*NATURE OF BUSINESS 業務性質

Financial industry 金融機構

Import/export companies 進/出口商

Oil and gas extraction 油氣開採

Personal investment companies 私人投資公司

Professional service providers 專業人士

Retailer 零售商

Real estate investment/ leasing / sales 不動產租賃買賣

Commission agents 代理商

Shipping companies 航運船舶

Manufacturer 工廠

Wholesalers 批發商

other 其他 please specify:

*COMPANY CATEGORY 公司類別

sole proprietorship 獨資

private limited /public company 有限公司

partnership 合夥

association/club/society 協會

other 其他 please specify:

*Annual Business Turnover 全年營業額

< US\$3,000,000

US\$3,000,001 – US\$6,000,000

US\$6,000,001 – US\$10,000,000

> US\$10,000,001

Please initial

Client Information

客戶基本資料表



PURPOSE OF ACCOUNT 開立帳戶用途(可複選)

- | | |
|---|---|
| <input type="checkbox"/> collecting for goods payment | <input type="checkbox"/> disposition of net earning |
| <input type="checkbox"/> paying for goods payment | <input type="checkbox"/> salary payment |
| <input type="checkbox"/> investment management | <input type="checkbox"/> tax arrangement |
| <input type="checkbox"/> other, please specify: _____ | |

SOURCE OF CAPITAL/REVENUE 資金/收入來源

- | | |
|---|---|
| <input type="checkbox"/> business income 營業所得 | <input type="checkbox"/> capital contribution 資本金 |
| <input type="checkbox"/> sale of asset 資產出售收益 | <input type="checkbox"/> investment revenue 投資收益 |
| <input type="checkbox"/> intra-group finance 集團融資 | <input type="checkbox"/> dividend 股利 |
| <input type="checkbox"/> other 其他 please specify: _____ | |

*COUNTRY OF SOURCE OF FUND 主要收入來源國別 _____

SERVICES APPLICATION 服務申請

*MODE OF DELIVERY FOR ADVICE AND MONTHLY BANK STATEMENT 交易憑證暨月結單寄送方式

- E-mail, please specify: _____

- By mail to mailing address

There is/are total _____ E-mail address(es).

Please initial



***DECLARATION ON BENEFICIAL OWNERSHIP OF COMPANY**

I /We declare that the following person(s) ultimately own and/or control the management of the company.

1. Full Name

Residential address

Date of birth (DD/MM/YYYY) ID/Passport No. Nationality

Mobile No. Office No.

Occupation/Public position held

2. Full Name

Residential address

Date of birth (DD/MM/YYYY) ID/Passport No. Nationality

Mobile No. Office No.

Occupation/Public position held

3. Full Name

Residential address

Date of birth (DD/MM/YYYY) ID/Passport No. Nationality

Mobile No. Office No.

Occupation/Public position held

4. Full Name

Residential address

Date of birth (DD/MM/YYYY) ID/Passport No. Nationality

Mobile No. Office No.

Occupation/Public position held

And I/we acknowledge and confirm that E.SUN BANK shall be entitled to rely on my/our declaration above on the identity(ies) of and information relating to the beneficial owner(s) of the Account.

Please initial



I/We already provided the Board Resolution or Certified Extracts in another form as may be approved by E.SUN Bank

I/We, the undersigned, hereby certify that in respect of the Applicant on the date of , the following Resolutions have been duly adopted and passed; no action has been taken to rescind or amend the following Resolutions and the same are now in full force and effect and in no way in conflict with any provisions of the constitutive document of the Applicant; and the Applicant is duly incorporated and existing, and pursuant to its constitutive document, has the power to carry out all the transactions and to take all necessary actions to enter into the same referred to in the following Resolutions.

RESOLVED:

Appointment of Bankers

1. That E.SUN Commercial Bank Ltd., Singapore Branch (the “Bank”) be and is hereby appointed as the bankers of the Applicant.

Accounts and Services

2. That the Applicant be authorised to open any number of bank accounts of any type and in any currency (“Accounts”) with the Bank and to use any of the banking services offered by the Bank from time to time (“Services”). The Applicant has been furnished with the Standard Terms and Conditions Governing Accounts and Banking Facilities of the Bank (as may be amended, supplemented and/or substituted from time to time) (“Terms and Conditions”) and has read, understood and agreed to all the terms and conditions contained therein.

Appointment and Authority of Authorised Persons, Authorised Signatories

3. **Authorised Persons:** That the persons whose names are set out in the **Schedule** hereto be and are hereby appointed as “Authorised Persons” with authority to take the following actions in accordance with the signing conditions set out in the **Schedule** hereto, for and on behalf of and in the name of the Applicant:

- (a) to open, maintain and/or close any Accounts with the Bank;
- (b) to apply for and terminate the use of any Services including business internet banking, phone banking, self-service machines, cash management and trade services with the Bank;
- (c) to agree to abide by the Terms and Conditions with whom the Accounts or Services are maintained;
- (d) to appoint, add, remove and/or replace any person or persons (including themselves) as the signatories of the Accounts (“Authorised Signatories”) and to set and determine the mandate or authority of the Approved Signatories and to confirm their appointment and certify their specimen signatures and such other particulars as the Bank may require;
- (e) to provide such security as may be required by the Bank in connection with the Accounts and/or Services, and to sign the security documents required by the Bank;
- (f) to give the Bank written instructions, confirmations, consents or indemnities in connection with the Accounts and the Services; and
- (g) to sign any other document as may be required by the Bank or appropriate or which is incidental to the matters authorised by these Resolutions generally.

4. **Authorised Signatories:** That the **Authorised Signatories** be and are hereby authorised to perform the following acts and things for and on behalf of the Applicant, according to the signatory requirements and limits (if any) set by the Authorised Persons:

- (a) To operate the Accounts of which they are the Authorised Signatories;
- (b) To arrange, apply for, obtain, use and avail of any temporary overdraft or ad hoc trade or other banking facility or banking accommodation and to sign and accept for and on behalf of the Applicant for all acceptances, instruments of guarantee, agreements, forms of deposit and withdrawals, and any other obligations, undertakings, instructions, indemnities, and counter indemnities and any other documents which may be required by the Bank in connection with the Applicant’s business and in a form and substance satisfactory to the Bank;
- (c) To pledge, charge, assign and deliver, as security for money borrowed or credit obtained, stocks, bonds, bill receivable, accounts, debentures, mortgages, merchandise, bill-of-lading, warehouse receipts, insurance policies, certificates, and any other property held by or belonging to the Applicant immovable or movable and such other securities that may be acceptable to the Bank in such form and substance satisfactory to the Bank and with full authority to sign all documents in connection therewith in the name of the Applicant;
- (d) To discount any bills receivable or any document held by the Applicant with full authority and to endorse the same in the name of the Applicant;
- (e) To withdraw from the Bank and give receipts for and deal with and to authorise the Bank to deliver to bearer to the person(s) designated by the Applicant, all or any documents and securities or other property held by the Applicant, whether held as security or for safe-keeping or for any other purpose;
- (f) To authorise and request the Bank to purchase or sell for account of the Applicant, currencies, stocks, bonds and other securities and to sign all documents in connection therewith; and
- (g) To deal, negotiate and agree with the Bank on any of the matters set out in this Resolution 4 generally.

5. That the Bank be and is hereby authorised to act on any instruction from the Authorised Signatories, and honour any instrument, document, or instruction given by them within the limits and scope of their authority.

Change of Authorized Persons

6. That any amendment to the list of Authorised Persons, their authority and/or their signing requirements shall only be made by amending resolutions duly passed by the Applicant and communicated to the Bank who shall be given a reasonable period of time to effect the amendment.

Please initial



Change of Authorised Signatories

7. That any amendment to the list of Authorised Signatories and their authority shall only be made by written notice given by the Authorised Persons signing according to the signing conditions set out in the Schedule hereto and communicated to the Bank who shall be given a reasonable period of time to effect the amendment.

Authorisations to the Bank

8. The Bank be and is hereby authorised to honour all cheques, promissory notes, and other orders drawn by and all bills accepted for and on behalf of the Applicant and to debit such cheques, notes, orders and bills to the Accounts whether such account be in credit or overdrawn or may become overdrawn in consequence of such debit provided that they are endorsed/signed by the Authorised Signatories and to accept and credit to the Accounts all monies deposited with or owing by the Bank on any account or accounts at any time or times kept or to be kept in the name of the Applicant and the amount of all cheques, notes, bills, other negotiable instruments, orders or receipts.
9. That the Bank be and is hereby authorised to pay any instrument or make any such charge and also to receive the same from the payee or any other holder without inquiry as to the circumstances of issue or the disposition of the proceeds even if drawn to the individual order or any signing person(s), or payable to the Bank or others for his/their account or accounts or tendered in payment if his/their obligation(s), and whether drawn against an account in the name of the Applicant or in the name of any officer or agent of the Applicant and such signature(s) shall be a sufficient authority to the Bank and shall bind the Applicant in all transactions between the Bank and the Applicant including those specifically referred to.
10. The Bank be and is hereby authorised to accept and act on any notices and instructions which it believes to have been made or given by or on behalf of the Applicant whether given orally or by means of facsimile transmission, telephone, telex, electronic mail or any other form of electronic communication acceptable by the Bank and the Applicant be authorised to indemnify the Bank in consideration of the Bank agreeing to accept and act at the Applicant's request on such notices and instructions.

Bank's Term and Conditions

11. That the Applicant is bound by the Bank's Terms and Conditions which have been furnished to the Applicant, together with any subsequent amendments, variations and additions from time to time as the Bank may determine in its absolute discretion with or without prior notice and all actions of the Bank under the Terms and Conditions which require the authorisation of the Applicant shall hereby be and are authorised by the Applicant under these Resolutions.

Provision of Constitutive Documents

12. That the Bank be provided with a certified true copy of the Applicant's prevailing constitutive documents together with copies of amendments thereto and any special resolution passed from time to time as the Bank may require.
13. That any [director/company secretary/two office bearer/two partners] of the Applicant be and is hereby authorised to certify the constitutive documents of the Applicant and such certification may be relied upon by the Bank as conclusive evidence of the veracity of the constitutive documents.

List of Directors/Partners/Officers

14. That the Applicant shall furnish to the Bank a certified true list of the present directors/partners and key officers of the Applicant, together with specimens of their signatures and the limits and scopes of their authority. In case of any change of any directors, key officers of the Applicant or their authority, the Applicant shall forthwith notify the same to the Bank, together with specimen of the new signatures.
15. Subject to a reasonable period of time to effect the change, that the Bank be and is hereby authorised to honour any instrument or document signed by any new officer(s) in respect of whom it has received any such certificate(s) with the same force and effect as if such officer(s) were named in the foregoing Resolution in the place of any person(s) empowered the same authority.

Resolutions in Force and Reasonable Time for Amendment

16. That these Resolutions be furnished to the Bank and remain in force until the Bank receives a certified true copy of the resolution amending or revoking all or any of these Resolution or any such authority and power or providing otherwise. The Bank shall be entitled to a reasonable period of not less than seven (7) business days from receipt of notice to process the notice. Before the Bank has updated its record, the Applicant be authorised to indemnify and save harmless the Bank from any loss suffered or liabilities imposed on it (including legal costs on a full indemnity basis) as a result of acting in accordance with these Resolutions.

Certification of Authorized Persons, Specimen Signatures and these Resolutions

17. That as between the Applicant and the Bank:
- (a) where the Applicant is a corporation, any one Director and Company Secretary or any two Directors or such person(s) authorised under its memorandum and articles of association/chart/constitution;
 - (b) where the Applicant is a limited liability partnership (LLP) or partnership, all its partners or such person(s) authorised under its LLP agreement or partnership agreement;
 - (c) where the Applicant is a society, association, club or a management corporation, any two of its office bearers;
- be and are hereby authorised to certify to the Bank:
- (i) the list of Authorised Persons and their specimen signatures;
 - (ii) a true copy of the constitutive documents of the Applicant and such certification may be relied upon by the Bank as conclusive evidence of the veracity of the constitutive documents; and
 - (iii) a true copy or extract of any resolution passed by the Applicant (including these Resolutions) in connection with the Accounts and Services and such certification may be relied upon by the Bank as conclusive evidence of the passing of the resolutions so certified.

Please initial



Schedule of Authorised Persons

Signing Conditions of Approved Persons(S)

Singly Any two jointly Others: _____

No	Particulars of Authorised Persons	Specimen Signatures	Initial Signatures
1.	Name: _____ NRIC/Passport No.: _____ Designation/Title: _____		
2.	Name: _____ NRIC/Passport No.: _____ Designation/Title: _____		
3.	Name: _____ NRIC/Passport No.: _____ Designation/Title: _____		
4.	Name: _____ NRIC/Passport No.: _____ Designation/Title: _____		

Note: If the signatories are more than the spaces provide above, please attach list.

Certified this _____ day of _____ by the following persons for and on behalf of the Applicant:

X

X

Name & Designation _____

Name & Designation _____

NRIC/Passport No. _____

NRIC/Passport No. _____

X

X

Name & Designation _____

Name & Designation _____

NRIC/Passport No. _____

NRIC/Passport No. _____

Note: If the signatories are more than the spaces provide above, please attach list

Please initial

Authorised Signatories & Contact Persons



有權簽樣人員及連絡人

Please list all persons authorised to sign or accept for on behalf of the company/association/club/society firm cheques, bills of exchange, orders to pay and any other instruments in respect of this/these account(s).

Signature(s) should correspond to the signing arrangements of the Account. (ex. Company Seal + Signature or Signature only)

簽樣即為未來帳戶交易之簽署安排 (例如 公司章+簽名或簽名)

Signing condition singly any two jointly grouping and signing limits as attached

No	Particulars of Authorised Signatories		Specimen Signatures
1	Full name:	Designation:	
	ID/Passport number:	Nationality:	
	Office number:	Mobile number:	
	E-mail (to receive email notifications):		
	<input type="checkbox"/> Set as a Contact Person		
2	Full name:	Designation:	
	ID/Passport number:	Nationality:	
	Office number:	Mobile number:	
	E-mail (to receive email notifications):		
	<input type="checkbox"/> Set as a Contact Person		
3	Full name:	Designation:	
	ID/Passport number:	Nationality:	
	Office number:	Mobile number:	
	E-mail (to receive email notifications):		
	<input type="checkbox"/> Set as a Contact Person		
4	Full name:	Designation:	
	ID/Passport number:	Nationality:	
	Office number:	Mobile number:	
	E-mail (to receive email notifications):		
	<input type="checkbox"/> Set as a Contact Person		

Please attach annex1 "Signing requirements of authorised signatories" if applicable.

Please initial

Contact Person(s) Schedule



連絡人附表

***CONTACT PERSONS** (authorised to receive and communicate with the Bank)連絡人

I/We hereby specify the contact persons below. I/We hereby authorise the Bank to contact with any of the contact persons below via phone to confirm the content of the instruction(s) upon its receipt of instruction(s). If the Bank fails to get in touch with the contact person to confirm the content of the instruction(s) for whatever reasons, the Bank may refuse to act or execute such instruction(s).

No	Contact Person(s)	
1	Full name:	Designation:
	ID/Passport number:	Nationality:
	Office number:	Mobile number:
	E-mail (to receive email notifications):	
2	Full name:	Designation:
	ID/Passport number:	Nationality:
	Office number:	Mobile number:
	E-mail (to receive email notifications):	
3	Full name:	Designation:
	ID/Passport number:	Nationality:
	Office number:	Mobile number:
	E-mail (to receive email notifications):	
4	Full name:	Designation:
	ID/Passport number:	Nationality:
	Office number:	Mobile number:
	E-mail (to receive email notifications):	

Please initial

Customer Indemnity

交易指示切結書



To: **E.SUN Commercial Bank, Ltd., Singapore Branch**

Dear Sirs,

I/We request and authorise E.SUN Commercial Bank, Ltd., Singapore Branch (the "Bank") to rely and act upon, as original, with or without independent verification, document(s) and/or instructions that we transmit to the Bank by facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future. I/We hereby agree that the Bank may, but is not obliged to, accept and deem as original in all respect and for all purposes any documents(s) and/or instructions received from me/us through facsimile, post, e-mail, telex or such other forms of transmission, which on its face bears the approved Authorised Signatory(ies) signature(s) or its sender passing the identity check which appears, in the Bank's sole and absolute discretion to be similar to the Authorised Signatories specimen or to be consistent with my particulars held with the Bank.

In the event of my/our non-performance of this undertaking, I/We hereby confirm and agree that it shall not in anyway affect the Bank's reliance on our instructions which have been transmitted to the Bank and the Bank reserves its right to request for and/or act upon instructions from me/us by post in respect of all transactions in connection with any of my/our aforesaid account(s) with the Bank.

The Bank is entitled to rely upon and deem the document(s) and/or instructions received by facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future as an original instrument, authorised by and binding upon me/us in all respects and for all purposes without waiting for a confirmation by letter or the original instruction in writing or any inquiry as to the authority or identity of the person(s) giving or making or purporting to give or make such notice, demand, instruction or other communication and regardless of the circumstances prevailing at the time of such notice, demand, instruction or other communication.

In the event of any discrepancy between any instructions of facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future received and acted on by the Bank and a hard copy of the same, the former received and acted on by the Bank shall be deemed to be the instructions given by the Customer.

I/We accept the risk of equipment or transmission malfunction including but not limited to paper shortage, transmission errors, omissions and distortions. I/We agree and accept that the Bank will not be held responsible for any loss, liability or damages arising from misunderstandings, errors, lack of clarity, fraud, forgery or unauthorised instructions given by any unauthorised party. I/We irrevocable authorise the Bank to debit our account of any charges, losses or expenses.

Proof of dispatch by the Customer (or the Customer's authorised representative(s) if any) shall not constitute proof of receipt of such instructions by the Bank. The Bank may at any time, at the Bank's discretion, refuse to execute my/our instructions or any part thereof, without giving any notice, without assigning any reason thereon and without incurring any responsibility for loss, liability or expenses arising from such refusal.

In consideration of the Bank agreeing to act on the authorisation set out in this letter, the undersigned hereby agrees to fully indemnify the Bank and its officers, employees, agents and correspondents against all claims, demands, actions, proceedings, damages, losses, costs, liabilities, payments and expenses (including, without limitation, legal fees and expenses on full indemnity basis) which the Bank may suffer or incur, directly or indirectly, arising out of anything done or omitted to be done pursuant to its reliance on documents transmitted by facsimile, post, e-mail, telex or such other modes as the Bank may adopt in the future, as set forth in this letter.

I/We hereby waive any rights, claims, actions, or proceedings that I/we may have against the Bank for any losses, damages or liabilities I/we may suffer or incur as a consequence or in connection with this letter or any notice, demand, instruction or other communication.

I/We acknowledge that the Bank already have a right of set-off or lien arising under law against my/our other accounts, whether in Singapore or elsewhere, held with the Bank, even though the credit balances may not be in the same currency.

Where the Customer consists of more than one person, their obligations and liabilities hereunder shall be joint and several. Where the Customer is a corporate or other business entities, the term "the Customer" shall mean the corporate entity, the person, and/or persons from time to time carrying on business in the name of such firm. References to "the Customer" shall include the Customer's successor and assign.

No failure or delay by the Bank in exercising any right, power or remedy hereunder shall be a waiver thereof. Rights, powers and remedies herein do not exclude those provided by the law. If any form or condition herein becomes illegal, invalid or unenforceable, the remaining terms and conditions shall not be prejudiced thereby.

The rights and obligations of the parties shall be governed by and interpreted in accordance with the laws of Republic of Singapore. Indemnity construed in Courts of Singapore shall not limit the Bank's right to take legal proceeding in other court of competent jurisdictions. I/we agree to submit to the non-exclusive jurisdiction of the Courts of Singapore.

Please initial

Tax Compliance Declaration for Corporate Account(s)

稅務遵循聲明



To: E.SUN Commercial Bank Limited, Singapore Branch (the "Bank")

IMPORTANT:

This Declaration should be signed by an authorised signatory of the Accountholder.

We hereby **CONFIRM AND DECLARE** that:

- (i) We are aware that Singapore is fully committed to safeguard its financial system from being used to harbor proceeds from willful or fraudulent tax evasion which are serious tax crimes involving, *inter alia*, omissions, falsifications or fraudulent conduct perpetrated with willful intent to evade tax or to assist others in evading tax.
- (ii) We are aware that the relevant Notices on the Prevention of Money Laundering and Countering the Financing of Terrorism and The Guidelines to these Notices issued by the Monetary Authority of Singapore will apply to the tax offences* designated under the Corruption, Drug Trafficking and Other Serious Crimes (Confiscation of Benefits) Act ("**CDSA**") from 1 July 2013.
- (iii) At the date hereof, we have, to the best of our knowledge, not committed or been convicted of any serious tax crimes.
- (iv) To the best of our knowledge, all the money and/or assets which we have credited and/or will be crediting into the accounts maintained with the Bank, are sourced from legitimate sources and are not proceeds from willful or fraudulent tax evasion.
- (v) To the best of our knowledge, the Accountholder is and will not be used for the purposes of or in connection with any serious tax offence.
- (vi) We will, upon the request of the Bank, immediately provide any further information or documentation evidencing the tax legitimacy of our money and/or assets, including without limitation, supporting bases and documentation in connection with account acceptance/retention and other related decisions.
- (vii) We will notify the Bank immediately of any change in the status declared in this Declaration.
- (viii) The information given in this Declaration submitted to the Bank is complete, true and accurate and we have not willfully withheld any material fact/information.
- (ix) As appropriate and necessary, we have taken, or will take, tax and/or legal advice in relation to the matters referred to in this Declaration.

Acknowledgement

We understand and acknowledge that the Bank:

- (i) will rely on the information that I have provided above in identifying and assessing my tax- risk profile; and
- (ii) is not responsible for providing me with any legal or tax advice and I confirm that I have not relied on the Bank to provide me with such advice.

***Explanatory Notes:**

The tax offences that have been designated under the CDSA are as follows:

- (a) Tax evasion under section 96 of the Income Tax Act;
 - (b) Serious fraudulent tax evasion section 96A of the Income Tax Act;
 - (c) Tax evasion under Section 62 of the Goods and Services Tax Act; and
 - (d) Improperly obtaining a refund contrary to section 63 of the Goods and Services Tax Act.
- (Please refer to the Second Schedule of the CDSA for further details.)

Please initial



We do not agree to the following Data Sharing Agreement. (Failure to do so signifies our confirmation that we have read through and agreed to it as regards the sharing of our deposit/loan and investment information to the E.SUN Group for use in direct service.)
我們不同意以下的資料分享協議。(如未打勾, 代表我們確認已經詳細閱讀並同意本條款以下所載之資料分享及直接服務)

- This Data Sharing Agreement will form part of the terms and conditions governing your relationship and dealing with E.SUN Bank (Singapore Branch) and its related corporations, including its headquarter, overseas branches and subsidiaries (the “Bank”), and should be read in conjunction with any terms and conditions to which your relationship with the Bank is subject.
此份資料共享協議為管理您與玉山銀行(新加坡分行)及其關聯公司(包括其總部, 海外分支機構和子公司(以下簡稱“本行”))關係條款的其中一部分, 請將此份協議結合您與銀行關係所遵循的其他條款一起閱讀。
- For the purposes of this Data Sharing Agreement Data means data, whether true or not, about you, from which you can be identified, or from that data and other information which the Bank has or is likely to have access to. For the avoidance of doubt, Data includes, but is not limited to, company/personal names, registration/identification number, financial background, contact information and any other information as regards products, services and/or transaction details which has been provided to the Bank.
以此份協議而言, 資料定義是指本行可以從中識別出您的身分相關資訊, 或指本行有權或可能獲得之訊息。資料包含但不限於公司/個人名稱、註冊編號/證件號碼、財務背景、連絡資訊以及提供給本行有關於產品、服務或交易等訊息。
- The Bank, with a view to conducting direct service and providing you with better-rounded and diversified financial services, collects, uses and discloses your Data for the following purposes in connection with your relationship and dealing with the Bank, to the extent applicable:
為了提供您更全面及多樣化的金融服務, 本行將在下述適用範圍內收集、使用和披露您的資料, 並用於服務您以及下列與本行的交易相關之目的:
 - providing products and/or services requested, including but not limited to Deposit and Remittance business, Credit Extension Business, Credit Card Business, Foreign Exchange Business, Wealth Management Business, Treasury Business of the Bank;
提供產品及服務需要, 包含但不限於存匯款業務、授信、信用卡、外匯交易、財富管理以及財金交易等等;
 - facilitating the evaluation and processing of your request for any products and/or services offered by the Bank, including but not limited to the delivery of documents and the execution of related documents;
促進評估和處理您對本行提供的任何產品和/或服務的需求, 包含但不限於單據交付和相關文件的執行;
 - managing your relationship and dealings with the Bank, your obligations with the Bank and the Bank’s obligations to you;
管理您與本行關係與交易、您與本行的義務以及本行對您的義務;
 - complying with the internal policies and procedures of the Bank;
遵守本行的內部政策和程序;
 - offering, marketing and advertising to you any products, services, offers, promotions or events provided by the Bank which the Bank thinks may be of interest to you;
向您提供、行銷或廣告宣傳本行認為您會感到興趣的任何產品、服務、報價、促銷及活動等。
 - performing checks with the Do Not Call Registry;
通過“請勿來電名單”進行檢查;
 - conducting research for the purposes of developing or improving products, services, security, service quality and marketing strategies, whether by the Bank or by a third party;
由本行或第三方進行研究, 以開發或改善產品、服務、安全性、服務質量和營銷策略;
 - generating financial, regulatory, management, analytics or other related reports for the business operations and performance of the Bank;
為本行的業務運營和業績生成財務, 監管, 管理, 分析或其他相關報告;
 - handling any feedback and/or complaints received by the Bank; and
處理本行收到任何反饋或投訴; 以及
 - for such other purposes which are reasonably related to the aforesaid.
用於與上述合理相關的其他目的。
- In furtherance of the abovementioned purposes, the Bank may share or disclose Data with third parties, whether in Singapore or elsewhere, to the extent permitted by applicable laws and regulations. In doing so, the Bank shall require such third parties to ensure that such Data shared or disclosed is similarly kept confidential and secure at all times.
為達到上述目的, 本行可在適用法律法規允許的範圍內, 與新加坡或其他地方的第三方共享或披露資料。在這樣做時, 本行應要求這些第三方確保共享或披露的此類資料在任何時候都受到類似機密和安全保護。
- Your consent as regards the collection, use and disclosure of your Data by the Bank for the abovementioned purposes pursuant to this Data Sharing Agreement shall be without prejudice to any other rights which the Bank may have to collect, use and disclose your Data, and nothing herein is to be construed as limiting the same.
您同意本行根據此資料共享協議為上述目的收集、使用和披露您的資料的行為, 不影響本行可能具有的其他收集、使用和披露您的資料的權利, 並且本文中的任何內容均不應被解釋為對此權利進行限制。
- By providing Data of a third party including, but not limited to, information of next-of-kin, emergency contact or family members, to the Bank, you represent and warrant that consent from such third party has been obtained for the collection, use and disclosure of that Data by the Bank for the abovementioned purposes.
您向本行提供之第三方的資料(包括但不限於親屬, 緊急連絡人或家庭成員的信息), 您確認並保證已經獲得該第三方的同意, 本行得出於上述目的使用和披露該資料。

Please initial



7. You may at any time withdraw any consent given, in respect of the collection, use or disclosure of your Data by the Bank, for any purpose by giving reasonable notice to the Bank. If you withdraw your consent given, the Bank may not be able to carry on certain business, transactions and dealings with you. Your withdrawal of consent for the collection, use or disclosure of your Data by the Bank may also result in a breach of contractual obligations or undertakings to the Bank, in which case the Bank's rights and remedies, at law and in equity, are hereby expressly reserved.
您可以出於任何目的隨時向本行發出合理的通知、撤回就本行收集、使用或披露您的資料而給予的任何同意。如果您撤回您的同意，本行可能無法與您進行某些業務交易。您撤回本行對收集、使用或披露您的資料的同意也可能導致違反本行的合同義務或承諾，在此情況下，本行在法律和權益上的權利和補救措施應被明確保留。
8. Data collected by the Bank shall be kept confidential and the Bank shall protect the Data in its possession or under its control by making reasonable security arrangements to prevent any unauthorised access, collection, use, disclosure, copying, modification, disposal or similar risks.
本行收集的資料應予以保密，且應通過合理的安全安排保護其擁有或受其控制的資料，以防止任何未經授權的訪問、收集、使用、披露、複製、修改、處置或類似風險。
9. The Bank retains your Data until it is reasonable to assume that the specific purposes for the collection of your Data is no longer being served by its retention, and such retention is no longer necessary under the applicable laws and regulations, or internal policies of the Bank, or the retention period as agreed in the terms and conditions to which your relationship with the Bank is subject, or for legal or business purposes.
本行將保留您的資料，直到可以合理地假設不再需要通過保留資料來滿足特定目的，並且根據適用的法律法規或內部政策不再需要保留資料，或直到您與本行之間關係條款所約定的資料留存期限，或出於法律或商業目的。
10. You may request access to and correction of your Data held by the Bank, to the extent permitted by applicable laws and regulations. [The Bank shall be entitled to charge a fee for processing any request for access to and/or correction of Data, depending on the nature and complexity of the request. The Bank will inform you of the fee beforehand and obtain your approval before such fee is charged to you.
您可以在適用法律和法規允許的範圍內，要求調閱和更正本行所擁有的資料。根據請求的性質和複雜性，本行有權收取任何處理調閱和/或更正資料的請求的費用。本行將事先通知您該費用，並在您收取該費用之前獲得您的批准。
11. As the Bank relies on your Data to provide products and/or services to you, you shall ensure that all information provided to the Bank is accurate and complete at all times, and you shall update the Bank of any and all changes to your information and Data in a timely manner. Any losses incurred as a result of any inaccurate or incomplete information shall not be borne by the Bank.
由於本行依靠您的資料為您提供產品和/或服務，因此您應確保提供給本行的所有信息在任何時候都是準確和完整的，並且您應向本行即時更新對您的信息和資料的任何更改。及時的信息和資料。因信息不正確或不完整而造成的任何損失，本行概不承擔。
12. The Bank may amend and/or vary the terms and conditions in this Data Sharing Agreement from time to time to ensure that it is consistent with any changes in any applicable laws and regulations. The Bank will make available such updated terms and conditions in this Data Sharing Agreement in its office in Singapore. All communications, transactions and dealings with the Bank shall be subject always to the latest amended version of this Data Sharing Agreement in force at the time.
本行可能會不時修改和/或更改本“資料共享協議”中的條款和條件，以確保其與任何適用法律和法規的任何變更保持一致。本行將在新加坡分行《資料共享協議》中提供此類更新的條款和條件。與本行的所有通信和交易應始終以當時有效的本資料共享協議的最新修訂版為準。
13. Should you have any queries on this Data Sharing Agreement, please visit us at our office in Singapore or get in touch with the CASH MANAGEMENT Department of the Bank at telephone no. +65 6533 1313 / fax no. +65 6636 3113 / email address SG_CM@ESUNBANK.COM
如果您對此《資料共享協議》有任何疑問，請訪問我們在新加坡的辦公室，或通過電話號碼與銀行的現金管理組聯繫。
14. This Data Sharing Agreement is written in both the English and Chinese languages. In case of any inconsistency or discrepancy between the two versions, the English language version shall prevail.
此份資料分享協議具有中英文對照版本，若中英文文義存在任何差異，應以英文為主。

Please initial



Customer agrees that the Bank may delegate the operation and maintenance of the information service to Information Technology Division of the Head Office of E.SUN Bank in accordance with the outsourcing requirements of Monetary Authority of Singapore. Customer understands that such IT services shall include, but are not limited to Core Banking System, Global Treasury System (GTS), E.SUN Anti-Money Laundering System(EAML), and SWIFT system are stored, developed and maintained by the Information Technology Division of the Head Office of E.SUN Bank in Taiwan.

顧客同意本行得依新加坡金融管理局之委外處理規定，就可委託之業務項目委託總行資訊處處理。貴存戶瞭解本行之資訊系統包含，但不僅限於海外帳務系統 Core Banking System、環球財務金融系統 Global Treasury System(GTS)、洗錢防制系統 E.SUN Anti-Money Laundering System (EAML) 及環球同業銀行財務通信系統(SWIFT)之伺服器與資料放置在本行位於臺灣總行，系統之維運、開發與維護係由臺灣總行之資訊處負責。

The Bank and the Head Office of E.SUN Bank will follow the relevant laws and regulations of Singapore to protect the confidentiality and security of customer information, and it will have no impact on the rights and obligations of the customer.

本行及總行資訊處各部將會遵循新加坡對於個人資料保護之相關法令規定，保障顧客資料的機密與安全，對顧客的權利義務將無任何影響。

Based on customer management, the Bank may need to provide the customer information such as Name, Date of Birth, National Registration Identity Card (NRIC) Number, Passport Number, Nationality, Gender, Education, Occupation, Income, Address, Telephone Number, and E-mail Address, etc. to the Head Office of E.SUN Bank for the following purpose:

本行因為顧客管理的需求，會需要將顧客資料，如姓名、出生年月日、國民登記身分證號碼、護照號碼、國籍、性別、學歷、職業、收入、住址、電話號碼、郵件帳號等提交總行使用，顧客的資料可能會用於下列用途：

1. Provide customers with the convenience of services and credit loan in the daily operation;
為顧客提供服務和信貸便利所涉及之日常運作
2. Conduct credit checks (including but not limited to the customer credit loan application and periodic review of the credit);
作信用檢查(包括但不限於顧客信貸申請及定期覆核該信貸情況下)
3. Establish and maintain the Bank's credit and risk-related model;
設立及維持本行的信貸和風險相關的模式
4. Ensure that customer maintains good credit rating;
確保顧客維持可靠信用
5. Design financial services or related products for customer service;
設計供顧客使用的財務服務或有關產品
6. Determine the liabilities of the bank to the customer or that of the customer to the bank;
確定銀行對顧客或顧客對銀行的負債額
7. Provide related information to the customer or those who provide collateral to the customer; and
向顧客及為顧客的債務提供抵押的人士相關資訊
8. Other use related to the above
與上述有關的用途

Please initial



AGREEMENT(to be signed by person(s) authorised to open the account(s))

To: E.SUN Commercial Bank, Ltd., Singapore Branch("E.SUN BANK")

- 1.I/We request E.SUN BANK to open the above account(s) (the "Account(s)", subject to the Bank's prevailing Standard Terms and Conditions Governing Accounts and Banking Facilities (the "Terms"). I/We have read and understood the Bank's prevailing Terms and agree to abide and be bound by such terms and conditions and any amendments, alterations and additions thereto as may from time to time be made.
- 2.I/We declare that any funds and assets I/we place with the bank and any profits that they generate, will comply with the tax laws of the countries where I/we live or of which I/we am/are citizen(s) or which I/we am/are otherwise subject to.
- 3.I/We hereby certify and confirm that the person(s) whose signature(s) appear in the Authorised Signatories section above and acting according to the signing condition/mandate indicated therein are authorised to draw, sign, endorse, accept or make for or on my/our behalf all cheques, bills of exchange, orders to pay and any other instruments in respect of or in connection with the Account, even though the payments is for the benefit of any director, employee, authorised person/signatory or individual order of any signing person without E.SUN BANK having to enquire into the circumstances or being liable in any way in respect of such payment and E.SUN BANK be and is authorised to honour any such cheques, bills of exchange, orders to pay and any other instruments.
- 4.If I/we provide incorrect, incomplete, undated information, or the information previously provided has been changed, resulting in E.Sun Bank unable to properly and accurately access my information for compliance with the applicable laws, regulations or rules, I/we agree that E.Sun Bank may treat my/our account as an uncooperative account and take any action if it deems fit (such as closing the account, terminating the service or withholding the payment). The relevant rules include, but are limited to the U.S tax code application data (including Foreign Account Tax Compliance Act, FATCA), the foreign financial institution agreement (FFI Agreement), or any agreements or codes entered into or enacted in any other jurisdictions applicable to E.Sun Bank.
- 5.For company/association/club/society: We confirm that the company/association/club/society is not insolvent, wound up nor placed in liquidation, judicial management or receivership.
- 6.For partnerships: We agree that we shall be jointly and severally responsible and liable to E.SUN BANK for all monies owing and liabilities incurred to E.SUN BANK by us or any of us whether in the name of or on behalf of the partnership firm or otherwise. Upon any partner ceasing to be a member of the partnership firm by death, bankruptcy, retirement or otherwise, E.SUN BANK shall treat the surviving or continuing partner or partners for the time being as having full power to carry on the business of the partnership firm and to deal with its assets, as if there had been no change in the partnership firm.
- 7.I/We agree that the Bank deduct all the charges from our account with you according the pricing table.
- 8.By signing below, I/We confirm that I am/We are authorised to sign the application form for and on behalf of the company/association/club/society/partnership.

Company Stamp or Seal (no Signature)

X

Signature

X

Signature

X

Signature

X

Signature

FOR BANK USE ONLY

Witnessed by	Country and Address of Witness
Print Name & Signature staff stamp not allowed	
Date and Time DD/MM/YYYY hr/min	
Staff number	

※ I hereby declare that I have read, fully understand and agree to abide by the relevant laws and regulations, including but not limited to Article 47 of the Singapore Banking Act and Personal Data Protection Act 2012, applicable to E.SUN Bank, Singapore Branch (hereinafter referred to as "the Bank") and its customers. I agree to protect all statutory rights including the correctness and confidentiality of the personal data and other information of customers of the Bank with the protection measures and the degree of duty of care no less than the requirement of the regulations herein. If there is any violation, I am willing to deal with it and bear the relevant legal responsibilities. The duration and obligations of this Statement shall last forever from the date of signature. I should continue to comply after I stop performing my duties or leave my job.

Please initial

Self Certification Entity

自我證明表格 – 公司戶



Account Name	
Registered Name	
Current Business Address	
Country: _____	City: _____
Address: _____	

Introduction

Why are we asking you to complete this form?

To help protect the integrity of tax systems, governments around the world are introducing a new information gathering and reporting requirement for financial institutions. Under the guidance of the Organization for Economic Co-operation and Development (OECD), many participating jurisdictions have committed to establish global standards for Automatic Exchange of Information (AEOI) based on the Common Reporting Standard (CRS), obtaining and exchanging financial account information from foreign taxpayers annually.

Under the CRS, we are required to determine where you are 'tax resident' (this will usually be where you are liable to pay income taxes). If you are tax resident outside the country where your account is held we may need to give the national tax authority this information, along with information relating to your accounts. That may then be shared between different countries' tax authorities.

Completing this form will ensure that we hold accurate and up to date information about your tax residency. If your circumstances change and any of the information provided in this form becomes incorrect, please let us know immediately and provide an updated Self-Certification.

Who should complete the CRS Entity Self-Certification Form?

Business banking customers (which includes all businesses, trusts and partnerships except sole traders) should complete this form. For joint account holders, a copy of the form should be completed for each account holder. If you are completing this form on behalf of an authorized officer of the business or a trustee, please notify us the capacity in which you are signing the form and provide a certified copy of the Power of Attorney. If you are a controlling person of an entity, complete a "Self-certification form: Controlling Person. If you are a personal banking customer or a sole trader complete a "Self-certification: Individual".

✘Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the "CRS"), the associated Commentary to the CRS, and domestic guidance.

✘Please note that the form is not for tax and legal advice. If you have any question regarding the form and CRS, please consult with tax, legal, or other areas of professionals.

Part I : Entity Type

Please provide the Account Holder's Status by ticking one of the following boxes and provide information

I. Financial Institution	CRS Classification	FATCA Classification						
	<input type="checkbox"/> a. Depository Institution, Custodial Institution or Specified Insurance Company <input type="checkbox"/> b. An Investment Entity, not including an investment entity located in a Non-Participating Jurisdiction and managed by other Financial Institution (Ex. have the discretion to manage the assets of the investment entity)	<input type="checkbox"/> 1. A Financial Institution which has GIIN and is the ultimate beneficial owner of the account <table border="1"> <tr> <td rowspan="5" style="vertical-align: middle;">FATCA Chapter 4 Status</td> <td><input type="checkbox"/> Participating FFI</td> </tr> <tr> <td><input type="checkbox"/> Reporting Model 1 FFI Please indicate the country where you registered: _____</td> </tr> <tr> <td><input type="checkbox"/> Reporting Model 2 FFI</td> </tr> <tr> <td><input type="checkbox"/> Registered Deemed-compliant FFI (Other than a reporting Model 1 FFI, sponsored FFI)</td> </tr> <tr> <td><input type="checkbox"/> Sponsored FFI(e.g. Funds) I certify that the entity is an investment entity; is not a QI, WP, or WT; and has agreed with the entity _____ (Entity Name) _____ (GIIN) to act as the sponsoring entity for this entity.</td> </tr> </table>	FATCA Chapter 4 Status	<input type="checkbox"/> Participating FFI	<input type="checkbox"/> Reporting Model 1 FFI Please indicate the country where you registered: _____	<input type="checkbox"/> Reporting Model 2 FFI	<input type="checkbox"/> Registered Deemed-compliant FFI (Other than a reporting Model 1 FFI, sponsored FFI)	<input type="checkbox"/> Sponsored FFI(e.g. Funds) I certify that the entity is an investment entity; is not a QI, WP, or WT; and has agreed with the entity _____ (Entity Name) _____ (GIIN) to act as the sponsoring entity for this entity.
FATCA Chapter 4 Status	<input type="checkbox"/> Participating FFI							
	<input type="checkbox"/> Reporting Model 1 FFI Please indicate the country where you registered: _____							
	<input type="checkbox"/> Reporting Model 2 FFI							
	<input type="checkbox"/> Registered Deemed-compliant FFI (Other than a reporting Model 1 FFI, sponsored FFI)							
	<input type="checkbox"/> Sponsored FFI(e.g. Funds) I certify that the entity is an investment entity; is not a QI, WP, or WT; and has agreed with the entity _____ (Entity Name) _____ (GIIN) to act as the sponsoring entity for this entity.							

Please initial _____

Self Certification Entity

自我證明表格 – 公司戶



		<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;">GIIN</div> <p><input type="checkbox"/> 2. Nonreporting IGA FFI¹</p> <p>I certify that the entity meets the requirements to be considered a nonreporting financial institution pursuant to an applicable IGA between the United States and _____ (Country). Under the provisions of the applicable IGA or Treasury regulations; if you are a trustee documented trust or a sponsored entity, provide the name of the trustee or sponsor _____ ; and GIIN _____ .</p> <p><input type="checkbox"/> Other types of Financial Institution., i.e.</p> <p>(1) certified deemed-compliant FFIs</p> <p>(2) Territory financial institution. Please fill W-8BEN-E form.</p>
II. NFE	CRS Classification	FATCA Classification
Active NFE*	<p><input type="checkbox"/> c. A Governmental Entity, an International Organization, a Central Bank, or an Entity wholly owned by one or more of the foregoing</p> <p><input type="checkbox"/> d. A corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation. If you have ticked the above, please provide the name of the established securities market on which the corporation is regularly traded : _____</p> <p>If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in is a Related Entity of : _____</p>	<p><input type="checkbox"/> 3. Foreign government, government of a U.S. possession, or foreign central bank of issue, International organization, Pension Fund, or an Entity wholly owned by one or more of the foregoing. Please fill W-8BEN-E.</p> <p><input type="checkbox"/> 4. Publicly traded NFFE or NFFE affiliate of a publicly traded corporation²</p> <p>Check whichever applies :</p> <p><input type="checkbox"/> I certify that the entity is a foreign corporation that is not a financial institution and the stock of such corporation is regularly traded on one or more established securities markets, including _____ (name one securities exchange upon which the stock is regularly traded); or</p> <p><input type="checkbox"/> I certify that the entity is a foreign corporation that is not a financial institution; the entity is a member of the same expanded affiliated group as an entity the stock of which is regularly traded on an established securities market; the name of the entity, the stock of which is regularly traded on an established securities market, is _____ ; and the name of the securities market on which the stock is regularly traded is _____ .</p>

¹ The certification of FATCA status shall be requisitioned every 3 years.

² The certification of FATCA status shall remain in full force and effect.

Please initial

Self Certification Entity

自我證明表格 – 公司戶



	<input type="checkbox"/> e. Other than c and d, please specify: <input style="width: 150px; height: 20px;" type="text"/>	<input type="checkbox"/> 5. Non-profit Organizations ³ <input type="checkbox"/> I certify that the entity is a non-profit organization that meets the following requirements: The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes; the entity is exempt from income tax in its country of residence; and the entity has no shareholders or members who have a proprietary or beneficial interest in its income or assets. <input type="checkbox"/> 6. Active NFFE(Less than 50% of such entity's gross income is passive income) ⁴ <input type="checkbox"/> I certify that the entity is a foreign entity that is not a financial institution; less than 50% of such entity's gross income for the preceding calendar year is passive income; and less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly). <input type="checkbox"/> Others(For other FATCA status not listed in this table, please fill in the form W8BEN-E.)
Passive NFE	<input type="checkbox"/> f. An Investment Entity located in a Non-Participating Jurisdiction and managed by other Financial Institution (Ex. have the discretion to manage the assets of the investment entity) <input type="checkbox"/> g. A NFE that is not an Active NFE i. If ticking Passive NFE, please also indicate the name of any Controlling Person(s)** of the Account Holder. ii. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official. iii. Complete "Self-certification: Controlling Person" for each Controlling Person	<input type="checkbox"/> 7. Passive NFFE (Over 50% of such entity's gross income is passive income) ⁵ <input type="checkbox"/> I certify that the entity is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not certifying its status as a publicly traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sponsored direct reporting NFFE. Check whichever applies: <input type="checkbox"/> I further certify that the entity has no substantial U.S. owners (or, if applicable, no controlling U.S. persons), or <input type="checkbox"/> I further certify that the entity has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, controlling U.S. person) of the NFFE below:

³ With a certificate of tax exemption, this certification shall remain in full force and effect.

⁴ With documents proving that the entity is not engaged in FI business, this certificate shall remain in full force and effect.

⁵ If you are a controlling U.S. person, the certificate shall be completed in English and shall be requisitioned every 3 years.

Please initial

Self Certification Entity



自我證明表格 – 公司戶

	Name	English Name	US Person	US TIN
The Entity			<input type="checkbox"/> Yes <input type="checkbox"/> No	
Controlling person 1			<input type="checkbox"/> Yes <input type="checkbox"/> No	
Controlling person 2			<input type="checkbox"/> Yes <input type="checkbox"/> No	
Controlling person 3			<input type="checkbox"/> Yes <input type="checkbox"/> No	
Controlling person 4			<input type="checkbox"/> Yes <input type="checkbox"/> No	

* An entity will be classified as Active NFE if it meets any of the following criteria:

(1) less than 50% of the NFE's gross income for the preceding calendar year is passive income; (2) the stock of the NFE is regularly traded on an established securities market; (3) the NFE is a Governmental Entity, an International Organization, a Central Bank, or an Entity wholly owned by one or more of the foregoing; (4) substantially all of the activities of the NFE consist of holding the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution; (5) the NFE is not yet operating a business and has no prior operating history (a "start-up NFE"); (6) the NFE is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations; (7) the NFE primarily engages in financing and hedging transactions with Related Entities; (8) a "non-profit" NFE.

** Controlling Person: A natural person owns more than 25% of the company ; Where there's no natural person identified, financial institution should take reasonable measures to verify the identity of the relevant natural person who holds the position of senior managing official.

Note : If you are a U.S. person, which owns more than 10% of the company, in addition to this form, you are required to complete the form "W-9" and "FATCA Declaration Form (US Taxpayer only)" to IRS.

U.S. person indicates entities that are registered/incorporated in the U.S., except for the following criteria: An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37); The United States or any of its agencies or instrumentalities; A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities; A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i); A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i); A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options; A real estate investment trust; A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940; A common trust fund as defined in section 584(a); A bank as defined in section 581; A broker; A trust exempt from tax under section 664 or described in section 4947(a)(1); A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Part II : Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")

Please complete the following table indicating:

- (a) where the Account Holder is tax resident and
- (b) the Account Holder's TIN for each country indicated

* Please list **"all"** the tax residency (not limited to 5 countries). *If* the Account Holder is tax resident in more than three countries please use a separate sheet.

* If a TIN is unavailable please provide the appropriate reason A, B, C or D where appropriate:

- A The country where I am liable to pay tax does not issue TINs to its residents
- B The Account Holder is otherwise unable to obtain a TIN or equivalent number
(Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)
- C No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)
- D The country where I am liable to pay tax does not issue TINs to its residents, but does provide functional equivalent numbers.

Please initial

Self Certification Entity

自我證明表格 - 公司戶



	Country of tax residency	TIN	If no TIN available enter Reason A, B, C or D
1			
2			
3			
4			
5			
Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.			
1			
2			
3			
4			
5			
Please provide equivalent identification numbers in the following boxes if you selected Reason D above.			
	Type of equivalent identification numbers	Numbers	
1			
2			
3			
4			
5			

Please initial



Part III : Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with E. SUN BANK setting out how E. SUN BANK may use and share the information supplied by me and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Authority of Singapore and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under Income Tax Act (Chapter 134) Income Tax (International Tax Compliance Agreements) (Common Reporting Standard) Regulations 2016.

- I certify that I am authorized to sign for the Account Holder in respect of all the account(s) to which this form relates.
- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I undertake to advise E. SUN BANK within 30 days of any change in circumstances which affects the tax residency status of the Account Holder identified in Part I of this form or causes the information contained herein to become incorrect, and to provide E. SUN BANK a suitably updated self-certification and Declaration of such change in circumstances.

Signature

the Account Holder
(or authorized individual to sign for the Account Holder)

Print Name

Capacity

(e.g. Senior managing official, Partner or Trustee)

Date

(DD/MM/YYYY)

※ Warning: According to Income Tax Act (Chapter 134) 105M, if a person is requested to provide any information contemplated by an agreement mentioned in section 105P(1) to establish his/her residence for a tax purpose contemplated by that agreement; and, in purported compliance with that request, provides any such information which he/she knows is false or misleading in any material particular, he/she shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000 or to imprisonment for a term not exceeding 2 years or to both.

FOR BANK USE ONLY

Witnessed by	Country and Address of Witness
Print Name & Signature staff stamp not allowed	
Date and Time YYYY/MM/DD/hr/min	
Staff number	

※ I hereby declare that I have read, fully understand and agree to abide by the relevant laws and regulations, including but not limited to Article 47 of the Singapore Banking Act and Personal Data Protection Act 2012, applicable to E.SUN Bank, Singapore Branch (hereinafter referred to as "the Bank") and its customers. I agree to protect all statutory rights including the correctness and confidentiality of the personal data and other information of customers of the Bank with the protection measures and the degree of duty of care no less than the requirement of the regulations herein. If there is any violation, I am willing to deal with it and bear the relevant legal responsibilities. The duration and obligations of this Statement shall last forever from the date of signature. I should continue to comply after I stop performing my duties or leave my job.

Please initial

Self Certification Controlling Person

自我證明表格 – 具控制權人



CRS – Common Reporting Standard

Why are we asking you to complete this form?

To help protect the integrity of tax systems, governments around the world are introducing a new information gathering and reporting requirement for financial institutions. Under the guidance of the Organization for Economic Co-operation and Development (OECD), many participating jurisdictions have committed to establish global standards for Automatic Exchange of Information (AEOI) based on the Common Reporting Standard (CRS), obtaining and exchanging foreign taxpayers of the annual financial account information.

Under the CRS, we are required to determine where you are ‘tax resident’ (this will usually be where you are liable to pay income taxes). If you are tax resident outside the country where your account is held we may need to give the national tax authority this information, along with information relating to your accounts. That may then be shared between different countries’ tax authorities.

Completing this form will ensure that we hold accurate and up to date information about your tax residency. If your circumstances change and any of the information provided in this form becomes incorrect, please let us know immediately and provide an updated Self-Certification.

Who should complete the CRS Controlling Person Self-Certification Form?

Controlling person(s) should complete the form. When an account is held on behalf of a Passive Non-Financial Entity (including certain trust or investment vehicles), we need those individuals identified as having ultimate control of the entity to complete this form. These individuals are termed “controlling persons”. For joint or multiple controlling persons each individual will need to complete a copy of the form. If you need to self-certify on behalf of an entity (which includes all businesses, trusts and partnerships), complete a “Self-Certification Form: Entity”. If you are completing this form on behalf of someone else, please notify E. SUN BANK and provide a certified copy of the Power of Attorney.

※Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the “CRS”), the associated Commentary to the CRS, and domestic guidance.

※Please note that the form is not for tax and legal advice. If you have any question regarding the form and CRS, please consult with tax, legal, or other areas of professionals.

Identification of Controlling Person (Each Controlling Person needs to complete a self-certificate) *Required

1 Name of Controlling Person			
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs./Ms./Miss	Family Name or Surname(s)*	<input type="text"/>
First or Given name(s)*	<input type="text"/>	Middle Name(s)	<input type="text"/>
2 Nationality			
<input type="checkbox"/> SG ID Number: <input type="text"/> or <input type="checkbox"/> Passport No. : <input type="text"/>			
<input type="checkbox"/> Other : <input type="text"/>			
3 Date of birth*			
(DD/MM/YYYY) <input type="text"/>			
4 Place of birth			
City*	<input type="text"/>	Country*	<input type="text"/>
Province, County, State	<input type="text"/>		
5 Current Residence Address			
Line 1 (House, Apt, Suite Name, Number, Street)	<input type="text"/>		
Line 2 (City*)	<input type="text"/>		
Line 3 (Province, County, State)	<input type="text"/>		
Country*	<input type="text"/>		
Postal Code / ZIP code	<input type="text"/>		
6 Mailing Address (please only complete if different from the address shown in Section 5 above) (P.O. Box is not acceptable)			
Line 1 (House, Apt, Suite Name, Number, Street)	<input type="text"/>		
Line 2 (City*)	<input type="text"/>		
Line 3 (Province, County, State)	<input type="text"/>		
Country*	<input type="text"/>		
Postal Code / ZIP code	<input type="text"/>		

Please initial

Self Certification Controlling Person



自我證明表格 – 具控制權人

7 Please enter the legal name of the relevant Entity Account Holder(s) of which you are a Controlling Person

Legal name of **Entity A**

Legal name of **Entity B**

Legal name of **Entity C**

Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")*

Please complete the following table indicating:

(a) where the Controlling Person is a tax resident; and

(b) Controlling Person's TIN for each country indicated.

* Please list **"all"** the tax residency (not limited to 5 countries). If the Controlling Person is tax resident in more than three countries please use a separate sheet.

* If the Account Holder is tax resident in Singapore, the TIN is National Registration Identity Card (NRIC) or Federal Identification Number (FIN)

* If a TIN is unavailable please provide the appropriate reason A, B, C or D where appropriate:

- A The country where the Controlling Person is liable to pay tax does not issue TINs to its residents
- B The Controlling Person is otherwise unable to obtain a TIN or equivalent number
(Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)
- C No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)
- D The country where the Controlling Person is liable to pay tax does not issue TINs to its residents, but does provide functional equivalent numbers, e.g. Social Security Number, Social Insurance Number, ID Number or Residence Number.

	Country of Tax Residency	TIN	If no TIN available enter Reason A, B, C or D
1			
2			
3			
4			
5			

Please explain in the following boxes why you are unable to obtain a TIN if you selected **Reason B** above.

1	
2	
3	
4	
5	

Please provide equivalent identification numbers in the following boxes if you selected **Reason D** above.

	Type of equivalent identification numbers	Numbers
1		
2		
3		
4		
5		

Please initial

Self Certification Controlling Person

自我證明表格 – 具控制權人



Type of Controlling Person

Based on Part I item 7, please complete this part if you are a tax resident in one or more Reportable Jurisdictions and provide the Controlling Person's Status by ticking the appropriate box.

Type of Controlling Person	Entity A	Entity B	Entity C
Entity			
Control by more than 25% ownership	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Control by other means	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Senior managing official	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trust			
Settlor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trustee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protector	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Beneficiary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-trust legal arrangement			
Settlor-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trustee-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protector-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Beneficiary-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*If you are a U.S. person who controls by owning more than 10% ownership or by other means (i.e. having no less than 10% voting rights), in addition to this form, you are required to complete the form "W-9" and "FATCA Declaration Form (US Taxpayer only)" to IRS.

Please initial

Self Certification Controlling Person



自我證明表格 – 具控制權人

Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with E. SUN BANK setting out how E. SUN BANK may use and share the information supplied by me and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Authority of Singapore and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under Income Tax Act (Chapter 134) Income Tax (International Tax Compliance Agreements) (Common Reporting Standard) Regulations 2016

- I certify that I am the Controlling Person, or am authorized to sign for the Controlling Person, of all the account(s) held by the entity Account Holder to which this form relates and where I am not the Controlling Person.
- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I undertake to advise E. SUN BANK within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part I of this form or causes the information contained herein to become incorrect, and to provide E. SUN BANK with a suitably updated self-certification and Declaration of such change in circumstances.

Signature

Print name

Capacity

Date

(the Controlling Person (or authorized individual to sign for the Controlling Person))

(If you are completing this form on behalf of someone else, please provide a certified copy of the Power of Attorney.)

(DD/MM/YYYY)

※ Warning: According to Income Tax Act (Chapter 134) 105M, if a person is requested to provide any information contemplated by an agreement mentioned in section 105P(1) to establish his/her residence for a tax purpose contemplated by that agreement; and, in purported compliance with that request, provides any such information which he/she knows is false or misleading in any material particular, he/she shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000 or to imprisonment for a term not exceeding 2 years or to both.

FOR BANK USE ONLY

Witnessed by	Country and Address of Witness
Print Name & Signature staff stamp not allowed	
Date and Time DD/MM/YYYY hr/min	
Staff number	

※ I hereby declare that I have read, fully understand and agree to abide by the relevant laws and regulations, including but not limited to Article 47 of the Singapore Banking Act and Personal Data Protection Act 2012, applicable to E.SUN Bank, Singapore Branch (hereinafter referred to as "the Bank") and its customers. I agree to protect all statutory rights including the correctness and confidentiality of the personal data and other information of customers of the Bank with the protection measures and the degree of duty of care no less than the requirement of the regulations herein. If there is any violation, I am willing to deal with it and bear the relevant legal responsibilities. The duration and obligations of this Statement shall last forever from the date of signature. I should continue to comply after I stop performing my duties or leave my job.

Please initial
