



Introduction

Why are we asking you to complete this form?

To help protect the integrity of tax systems, governments around the world are introducing a new information gathering and reporting requirement for financial institutions. Under the guidance of the Organization for Economic Co-operation and Development (OECD), many participating jurisdictions have committed to establish global standards for Automatic Exchange of Information (AEOI) based on the Common Reporting Standard (CRS), obtaining and exchanging financial account information from foreign taxpayers annually.

Under the CRS, we are required to determine where you are ‘tax resident’ (this will usually be where you are liable to pay income taxes). If you are tax resident outside the country where your account is held we may need to give the national tax authority this information, along with information relating to your accounts. That may then be shared between different countries’ tax authorities.

Completing this form will ensure that we hold accurate and up to date information about your tax residency. If your circumstances change and any of the information provided in this form becomes incorrect, please let us know immediately and provide an updated Self-Certification.

Who should complete the CRS Individual Self-Certification Form?

Personal banking customers or sole traders should complete this form. For joint account holders, each individual will need to complete a copy of the form. If you need to self-certify on behalf of an entity (which includes businesses, trusts and partnerships), complete a “Self-Certification: Entity”. Similarly, if you are a controlling person of an entity, complete a “Self-Certification: Controlling Person”. If you are completing this form on behalf of someone else, please notify E. SUN BANK and provide a certified copy of the Power of Attorney.

※Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the “CRS”), the associated Commentary to the CRS, and domestic guidance.

※Please note that the form is not for tax and legal advice. If you have any question regarding the form and CRS, please consult with tax, legal, or other areas of professionals.

Part I : Identification of Individual Account Holder

(For joint account holders, each individual will need to complete a copy of the form)

1 Name of Account Holder

Title Mr. Mrs./Ms./Miss

Family Name or Surname(s)* _____

First or Given name(s)* _____ Middle Name(s) _____

2 Nationality

NRIC: _____ or Passport No. : _____

Other: _____

3. U.S. person*

Are you a U.S. person*?

Yes

No

If you are a U.S. person (i.e.: A U.S. citizen or tax resident, green card holder, long term resident¹), in



addition to this form, you are required to complete the form "W-9" and "FATCA Declaration Form (US Taxpayer only)" to IRS.

Note ¹: long term resident indicates personnel that are not U.S. citizens, but is physically present in the U.S. ≥ 31 days in the current tax year; or (days physically present in the current tax year*1 + days physically present in the last tax year * 1/3 + days physically present in the tax year before last tax year *1/6) ≥ 183 days

4 Date of birth*	
(DD/MM/YYYY) _____ / _____ / _____	
5 Place of birth	
City* _____ Country* _____	Province, County, State _____
6 Current Residence Address	
Line 1 (House, Apt, Suite Name, Number, Street)	_____
Line 2 (City*)	_____
Line 3 (Province, County, State)	_____
Country*	_____
Postal Code / ZIP code	_____
7 Mailing Address: (please only complete if different from the address shown in Section 5 above) (P.O. Box is not acceptable)	
Line 1 (House, Apt, Suite Name, Number, Street)	_____
Line 2 (City)	_____
Line 3 (Province, County, State)	_____
Country	_____
Postal Code / ZIP code	_____

***Required**

Part II : Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")

Please complete the following table indicating:
 (a) where the Account Holder is a tax resident; and
 (b) Account Holder's TIN for each country indicated.
 * Please list **"all"** the tax residency (not limited to 5 countries). If the Account Holder is tax resident in more than three countries please use a separate sheet.
 *If the Account Holder is tax resident in Singapore, the TIN is National Registration Identity Card (NRIC) or Federal Identification Number (FIN)
 * If a TIN is unavailable please provide the appropriate reason A, B, C or D where appropriate:
 A The country where the Account Holder is liable to pay tax does not issue TINs to its residents
 B The Account Holder is otherwise unable to obtain a TIN or equivalent number
 (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)
 C No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)
 D The country where I am liable to pay tax does not issue TINs to its residents, but does provide functional equivalent numbers, e.g. Social Security Number, Social Insurance Number, ID Number or Residence Number.



	Country of Tax Residency	TIN	If no TIN available enter Reason A, B, C or D
1			
2			
3			
4			
5			

Please explain in the following boxes why you are unable to obtain a TIN if you selected **Reason B** above.

1	
2	
3	
4	
5	

Please provide equivalent identification numbers in the following boxes if you selected **Reason D** above.

	Type of equivalent identification numbers	Numbers
1		
2		
3		
4		
5		

Part III : Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with E. SUN BANK setting out how E. SUN BANK may use and share the information supplied by me and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Authority of Singapore and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under Income Tax Act (Chapter 134) Income Tax (International Tax Compliance Agreements) (Common Reporting Standard) Regulations 2016

■ I certify that I am the Account Holder (or am authorized to sign for the Account Holder) of all the account(s) to which this form relates.



■ I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

■ I undertake to advise E. SUN BANK within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide E. SUN BANK with a suitably updated self-certification and Declaration of such change in circumstances.

Signature

Name

_____ (the Account Holder (or authorized individual to sign for the Account Holder))

Print name

Capacity

Date

(DD/MM/YYYY)

⚠ Warning: According to Income Tax Act (Chapter 134) 105M, if a person is requested to provide any information contemplated by an agreement mentioned in section 105P(1) to establish his/her residence for a tax purpose contemplated by that agreement, and, in purported compliance with that request, provides any such information which he/she knows is false or misleading in any material particular, he/she shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000 or to imprisonment for a term not exceeding 2 years or to both.

FOR BANK USE ONLY

WITNESSED BY

DATE