

E.SUN FHC Organizational Rules

- Article 1: E.SUN FHC complies with Article 3 and Article 37 of its Articles of Incorporation and establishes a head office and branch offices, the organization of which is regulated by these Rules.
- Article 2: According to Articles 22 and 30 of the Articles of Association, the Board of Directors may establish functional special committees, and may set up general committees according to business needs. Their organizational rules shall be separately stipulated.
- Article 3: The Board of Directors of the Company has the Board Secretary Division and the Audit Division is affiliated to the Board of Directors, and is responsible for the following:
1. Board Secretary Division
Oversees general meeting administration for the board of directors and various functional committees under the board, and shall appoint a corporate governance officer who is exclusively in charge of handling general affairs for the board of directors.
 2. Audit Division
Establishes a Chief Auditor system, and with an independent and objective spirit, oversees the auditing procedures of the Company and its subsidiaries, and provides timely recommendations for improvement to reasonably ensure that the internal control system continues to be implemented effectively.
- Article 4: The Company shall appoint a President whom undertakes the resolution of the board of directors and organizes the activities of the entire company. The President shall be assisted by the Chief Strategy Officer, Chief Sustainability Officer, Chief Human Resources Officer, Chief Financial officer, Chief Risk Officer, Chief Technology Officer, Chief Information Officer, Chief Information Security Officer, Chief Accountant Officer, Chief Compliance Officer (in charge of legal and regulatory compliance of the head office), and Chief Marketing Officer, among others, who may be established or reassigned as needed based on business requirements.
- Article 5: The company has the following departments, is affiliated to the general manager, and is responsible for the following:
1. Strategy Development Division
Responsible for identifying new trends in the development of the domestic and international financial industry and monitoring market dynamics; formulating medium- and long-term development strategies for the Company and its subsidiaries; evaluating opportunities for strategic alliances or implementing merger and acquisition strategies; coordinating key resources across subsidiaries; supervising the execution of major strategies of the Company; and tracking and managing the effectiveness of strategy implementation.
 2. Financial Planning Division
Responsible for formulating the Company's financial accounting policies and fund management, and supervising the financial accounting affairs of its subsidiaries; developing capital development plans in accordance with organizational development strategies; overseeing budget compilation, performance evaluation, credit rating, and expense management for the Company and its subsidiaries; and managing external relations, including regulatory authorities, investors, and the media.

3. **Integrated Development Division**
Responsible for expanding the business scope of the Company and its subsidiaries, and managing long-term investment businesses; planning and coordinating business development and integrated marketing among the Company and its subsidiaries; and planning and implementing brand management strategies.
4. **Technology Development Division**
Responsible for overseeing the information policy, information request/procurement and vendor management, data governance and application for the Company and its subsidiaries, as well as the integration of information resources across subsidiaries; strategizing smart finance business, and managing and supervising artificial intelligence, knowledge management, smart technology, and applications.
5. **Sustainable Development Division**
Responsible for formulating and executing the sustainable development policies for the Company and its subsidiaries; implementing sustainable governance; aligning with international sustainability trends; introducing best practices; and leveraging sustainable influence.
6. **Information Security Management Division**
Responsible for overseeing the information security and personal data protection management systems and protection mechanisms of the Company and its subsidiaries; coordinating incident response and resource allocation for information security and personal data events; supervising the normal operation of the overall system; and keeping abreast of overall information security and personal data protection risks and implementation status.
7. **Risk Management Division**
Responsible for overseeing the formulation and execution of risk management policies and principles; supervising risk management affairs for the Company and its subsidiaries; identifying and preventing potential threats from emerging risks; and monitoring the overall risk tolerance.
8. **Compliance and Legal Division**
Responsible for the planning and management of the compliance system for the Company and its subsidiaries, including fair treatment of customers, anti-money laundering, stakeholders, and anti-greenwashing; and supervising subsidiaries in complying with laws and regulations applicable to their businesses, and planning and executing legal affairs.
9. **Human Resources Division**
Responsible for overseeing the planning and execution of human resources-related matters for the Company and its subsidiaries, including talent recruitment and appointment, training and career development, compensation and benefits and performance management, as well as employee rewards, discipline, and employee relations management.
10. **Administration Management Division**
Responsible for planning, executing, and tracking administrative or project-based matters for the Company and its subsidiaries, including customer service, general affairs and miscellaneous services, and shareholder services.

Article 6: The President may, where required for business and management purposes, establish functional committees. Guidelines for the establishment of each committee shall be formulated separately. The President may, where needed, establish a task force or a project team.

Article 7: The Company shall establish a table detailing the responsibilities of each hierarchical level.

Article 8: These Rules shall become effective upon approval of the Board of Directors.

Article 9: Approved by the 2nd meeting of the 1st term Board of Directors on 2002.2.27
Amended by the 8th meeting of the 1st term Board of Directors on 2003.5.15
Amended by the 16th meeting of the 1st term Board of Directors on 2005.2.14
Amended by the 16th meeting of the 2nd term Board of Directors on 2007.11.15
Amended by the 2nd meeting of the 3rd term Board of Directors on 2008.7.17
Amended by the 10th meeting of the 3rd term Board of Directors on 2009.11.12
Amended by the 4th meeting of the 6th term Board of Directors on 2017.08.11
Amended by the 9th meeting of the 6th term Board of Directors on 2018.06.08
Amended by the 19th meeting of the 6th term Board of Directors on 2020.01.10
Amended by the 7th meeting of the 7th term Board of Directors on 2021.01.22
Amended by the 14th meeting of the 7th term Board of Directors on 2021.07.23
Amended by the 18th meeting of the 7th term Board of Directors on 2022.03.11
Amended by the 3th meeting of the 8th term Board of Directors on 2023.06.16
Amended by the 11th meeting of the 8th term Board of Directors on 2024.05.10
Amended by the 28th meeting of the 8th term Board of Directors on 2025.11.07
Amended by the 30th meeting of the 8th term Board of Directors on 2026.01.30