


AML/CFT Internal Control System Statement

To: Financial Supervisory Commission

On the behalf of E.SUN Commercial Bank Co., Ltd., we hereby declare that in the period from January 1 to December 31, 2024, the Bank has duly complied with all relevant laws and regulations governing Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audits, and reporting periodically to the Board of Directors and the Audit Committee. Following prudent evaluation, it is found that except for items listed in the attached "Enhancement Items and Improvement Plan for AML/CFT Internal Control System", each unit of the Company has effectively implemented internal controls for AML/CFT during the year.

Declarants: E.SUN Commercial Bank Co., Ltd

Chairman:  (Signature/Seal)

President:  (Signature/Seal)

Chief Auditor:  (Signature/Seal)

Chief AML/CFT Compliance Officer:  (Signature/Seal)

Date: March 7, 2025

Enhancement Items and Improvement Plan for AML/CFT Internal Control System

(Base date: December 31, 2024)

Enhancement Items	Improvement measures	Planned completion date
<p>1. Ongoing Monitoring of Accounts and Transactions</p> <p>During sample inspections, it was discovered that certain sampled trade finance import/export transactions, while the "Goods Price Verification (Fair Market Value/Historical Transactions)" field in the checklist was marked as normal, lacked records of the verification process. For example, there were no records of the reasoning process, historical transaction documents containing product prices, or results of online searches.</p>	<p>The case has been remediated during the audit period.</p>	<p>Completed.</p>